

Non Responsive

**Shipment Receipt  
Address Information**

<b>Ship to:</b> Jeff Hayes  9470 N BREEDING RD  DUPONT, IN 47231-9557 US 3017348684	<b>Ship from:</b> Sarah L. Conant USDA, APHIS, IES 4700 River Rd. Unit 85 6B-02 Riverdale, MD 20737 US 3017348684
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**Shipping Information**

Tracking number: 796592254381  
Ship date: 12/23/2010  
Estimated shipping charges: 8.10

**Package Information**

Service type: FedEx 2-Day  
Package type: FedEx Envelope  
Number of packages: 1  
Total weight: 1LBS  
Declared value: 0.00USD  
Special Services: Adult signature required, Residential

**Delivery**

Pickup/Drop-off: Use an already scheduled pickup at my location

**Billing Information**

Bill transportation to: rhonda-334  
Your reference: MI10104-VS  
P.O. no.:  
Invoice no.:  
Department no.:

Thank you for shipping online with FedEx ShipManager at fedex.com.

**Please Note**

FedEx will not be responsible for any claim in excess of \$100 per package, whether the result of loss, damage, delay, non-delivery, misdelivery, or misinformation, unless you declare a higher value, pay an additional charge, document your actual loss and file a timely claim. Limitations found in the current FedEx Service Guide apply. Your right to recover from FedEx for any loss, including intrinsic value of the package, loss of sales, income interest, profit, attorney's fees, costs, and other forms of damage whether direct, incidental, consequential, or special is limited to the greater of \$100 or the authorized declared value. Recovery cannot exceed actual documented loss. Maximum for items of extraordinary value is \$500, e.g., jewelry, precious metals, negotiable instruments and other items listed in our Service Guide. Written claims must be filed within strict time limits. Consult the applicable FedEx Service Guide for details. The estimated shipping charge may be different than the actual charges for your shipment. Differences may occur based on actual weight, dimensions, and other factors. Consult the applicable FedEx Service Guide or the FedEx Rate Sheets for details on how shipping charges are calculated.



DEC 23 2010

United States  
Department of  
Agriculture

Jeff Hayes  
9470 N. Breeding Road  
Dupont, IN 47231

Animal and  
Plant Health  
Inspection  
Service

Dear Sir(s):

Marketing &  
Regulatory  
Programs Business  
Services

Animal and Plant Health Inspection Service (APHIS) enforces regulations to protect the health and care of animals, plants, and agricultural industry. Violations of these regulations jeopardize the animal and plant health systems that are vital to protect American agriculture.

4700 River Road  
Riverdale, MD  
20737

Our investigation shows that you have violated the United States Code of Federal Regulations as described on the enclosed Stipulation. APHIS laws and regulations provide for administrative and criminal penalties to enforce these regulatory requirements, including civil penalties of \$500 for the violations documented in our investigation.

The Secretary of Agriculture may, after providing notice and opportunity for a hearing, impose civil penalties or other sanctions. You may, however, waive your right to a hearing and settle this matter by paying \$150 by January 31, 2011, and signing the enclosed Stipulation.

Please pay the \$150 penalty by certified check or money order made payable to the Treasurer of the United States or go to [www.pay.gov](http://www.pay.gov) to pay by credit card. Write the Case Number (MI10104-VS) on your check or money order and mail it with the signed Stipulation to:

USDA, APHIS, (General) (MI10104-VS)  
P. O. Box 979043  
St. Louis, MO 63197-9000

If we do not receive your signed stipulation agreement and payment or notice that you wish to exercise your right to a hearing by January 31, 2011, this matter will be forwarded to the Department's Office of the General Counsel (OGC) for litigation. Please know that the penalty offered in this Stipulation represents a fraction of the civil penalty APHIS may seek should we refer this matter to OGC. You may contact our office at telephone number (301) 734-8684 if you have any questions.

Sincerely, (b)(6),(b)(7)(C)

Sarah L. Conant  
Chief, Animal Health and Welfare Enforcement Branch  
Investigative and Enforcement Services

Enclosure

cc: J. Kinsella, IES, ER W. Harris, IES, ER  
R. Macarty, AVIC W. Reinburg, IES, ER  
T. Rippey, IES, MI

APHIS:IES (b)(6),(b)(7)(C) 734-6169:12/21/10:MI10104-VS J.Hayes- Sag Ltr.  
T03-A SA Ltr Certified Rev 3 (4/10)



Safeguarding American Agriculture  
APHIS is an agency of USDA's Marketing and Regulatory Program  
An Equal Opportunity Provider and Employer

# Investigative and Enforcement Services

# Settlement Agreement

USDA, APHIS, IES  
 4700 River Road, Unit 85  
 Riverdale, MD 20737  
 Phone: (301) 734-8684  
 Fax: (301) 734-4328

**RESPONDENT:**  
 Jeff Hayes  
 9470 N. Breeding Road  
 Dupont, IN 47231

**CASE NUMBER** MI10104-VS  
**DUE ON OR BEFORE** January 31, 2011  
**CONTACT** Deirdre Jarvis  
**PHONE** (301) 734-6169

DATE	CITATION	DESCRIPTION
16 MAR 2010	9 CFR 88.4(a)(3)(vii) 9 CFR 88.4(a)(3)(iv) 9 CFR 88.4(a)(3)(ix) 9 CFR 88.4(f)	<p>Requirements for transport.                      Requirements for transport.                      Requirements for transport.                      Requirements for transport.</p> <p>Jeff Hayes failed to have completed the Owner/Shipper Certificates for the transport of equines from Indiana to Canada on March 16, 2010, as required by the Federal Regulations. Mr. Hayes failed to include the statement of fitness to travel on the owner/shipper certificate and a mare subsequently gave birth during transit. The Owner/Shipper Certificate was missing the complete description of the conveyance (including the license plate number), the city and state where the horses were loaded, and copies of Owner/Shipper Certificates must be kept for a period of 1 year.</p>

**PENALTY** \$ 150.00

**TERMS**

Titles 7, 15, 19, and 21 of the United States Code authorize the Secretary of Agriculture, after providing notice and opportunity for a hearing, to impose civil penalties and other sanctions to resolve violations.

Prior to the issuance of a formal complaint seeking sanctions under the Act, The Department may enter into a stipulation to resolve the above-described violations. Your payment constitutes a waiver of your right to a hearing, a finding that the violations of law have occurred, and settlement of such violations.

If you do not pay the specified penalty within the designated time or wish to exercise your right to a hearing, this matter will be forwarded to the Office of the General Counsel for litigation. The penalty offered in this stipulation is not relevant to the sanctions the Department may seek, or that will be assessed, upon issuance of a formal complaint.

**Signature of Respondent:** \_\_\_\_\_ **Date:** \_\_\_\_\_

**PAYMENT RECORD - FOR IES USE ONLY**

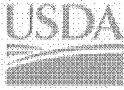
Payment Type	Date	Amount	Signature of IES Representative
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T04 - Settlement Agreement  
 Revised 11/15/05









NOV 01 2010

United States  
Department of  
Agriculture

Jeff Hayes  
9470 N. Breeding Road  
Dupont, IN 47231

CERTIFIED MAIL  
RETURN RECEIPT

Animal and  
Plant Health  
Inspection  
Service

Dear Sir(s):

Marketing &  
Regulatory  
Programs Business  
Services

Animal and Plant Health Inspection Service (APHIS) enforces regulations to protect the health and care of animals, plants, and agricultural industry. Violations of these regulations jeopardize the animal and plant health systems that are vital to protect American agriculture.

4700 River Road  
Riverdale, MD  
20737

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Please pay the \$150 penalty by certified check or money order made payable to the Treasurer of the United States or go to [www.pay.gov](http://www.pay.gov) to pay by credit card. Write the Case Number (MI10104-VS) on your check or money order and mail it with the signed Stipulation to:

USDA, APHIS, (General) (MI10104-VS)  
P. O. Box 979043  
St. Louis, MO 63197-9000

If we do not receive your signed stipulation agreement and payment or notice that you wish to exercise your right to a hearing by November 30, 2010, this matter will be forwarded to the Department's Office of the General Counsel (OC) for litigation. Please know that the penalty offered in this Stipulation represents a portion of the civil penalty APHIS may seek should we refer this matter to OIG for investigation. Contact our office at telephone number (301) 734-8684 if you have any questions.

Sincerely,

(b)(6),(b)(7)(C)

Sarah L. Conant  
Chief, Animal Health and Welfare Enforcement Branch  
Investigative and Enforcement Services

Enclosure

*Hi Dee -  
How would you like  
to move forward w/  
this, the address  
is accurate per  
intel*



Safeguarding American Agriculture  
APHIS is an agency of USDA's Marketing and Regulatory Program

An Equal Opportunity Provider and Employer

DEC 08 2010



**Investigative and Enforcement Services**

**Settlement Agreement**

USDA, APHIS, IES  
 4700 River Road, Unit 85  
 Riverdale, MD 20737  
 Phone: (301) 734-8684  
 Fax: (301) 734-4328

**RESPONDENT:**  
 Jeff Hayes  
 9470 N. Breeding Road  
 Dupont, IN 47231

**CASE NUMBER** MI10104-VS  
**DUE ON OR BEFORE** November 30, 2010  
**CONTACT** Deirdre Jarvis  
**PHONE** (301) 734-6169

DATE	CITATION	DESCRIPTION
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**PENALTY** \$ 150.00

**TERMS**

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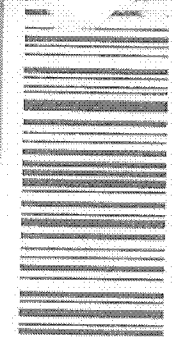
Signature of Respondent: \_\_\_\_\_ Date: \_\_\_\_\_

**PAYMENT RECORD - FOR IES USE ONLY**

Payment Type	Date	Amount	Signature of IES Representative
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T04 – Settlement Agreement  
 Revised 11/15/05

**CERTIFIED MAIL**



7009 2250 0003 6770

INTERNET CODE  
UNITED STATES DEPARTMENT OF AGRICULTURE  
MARKETING & REGULATORY PROGRAMS  
ANIMAL AND PLANT HEALTH INSPECTION  
UNIT NO. 85 STATION  
4700 EMER ROAD  
RIVERDALE MD 20737

OFFICIAL BUSINESS

048322041593  
\$05.71  
11/02/2010  
Mailed from 20737  
US POSTAGE

1st NOTICE 11/6  
2nd NOTICE 11/8  
RETURNED 11/23

472318557701289

NIXIE 482 SE 1 84 12/02/10  
UNDELIVERABLE TO SENDER  
UNDELIVERABLE TO SENDER  
UNDELIVERABLE TO FORWARD  
RC: 2073712289 \*0112-04899-05-40



**SENDER: COMPLETE THIS SECTION**

- Complete items 1, 2, and 3. Also complete item 4 if Restricted Delivery is desired.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to:

JEFF HAYES  
9470 N. Breeding Road  
Dupont, IN 47231

**COMPLETE THIS SECTION ON DELIVERY**

A. Signature

X

B. Received by (Printed Name)

Agent  
 Addressee

C. Date of Delivery

D. Is delivery address different from item 1?  Yes  
If YES, enter delivery address below.  No

**3. Service Type**

- Certified Mail
- Registered
- Insured Mail
- Express Mail
- Return Receipt for Merchandise
- C.O.D.

**4. Restricted Delivery? (Extra Fee)**

Yes

2. Article Number

(Transfer from service label)

PS Form 3811, February 2004

7009 2250 0003 8770 6950

Domestic Return Receipt

102595-02-M-1540

