Equine Welfare Alliance & Wild Horse Freedom Federation

INVESTIGATION REPORT

Release Date:	February 11, 2013
Report Date(s):	February, 2002 – July 24, 2012
Type:	Freedom of Information Act [FOIA]
Objective Content:	Transport Violations

Summary:

This is the response from the USDA to our FOIA. It is apparent the horrors of transport continue within the borders of our country. This report contains vet reports, complaint information/questionnaires, records of horses transported and images from Santa Teresa, NM.

The violations committed by Dennis Chavez resulted in a \$3,750 fine.

There are several images of injuries from transport but particularly disturbing are several images (beginning on page 10) of a mare with a dead foal hanging from her uterus.

The following documentation provided is the USDA response in its entirety so there is no question as to the validity of the report.

We will continue to report violations as investigations are completed.

Information Contained in this Document:

USDA Response CAUTION: Contains graphic images and content

APHIS Form 7153 - REQUEST FOR ADDITIONAL INFORMATION ON APPARENT VIOLATION (7 and 9; CFR)

to here	Type the name and title of the person you are sending the request	2. Case Number: (Refer to in reply)
	(b)(6),(b)(7)(C)	10031-VS
		NM
Sub the req	ject: Briefly describe the violation scenario, including violators involuest:	ved and the facts relevant to
	08/10, 04/14/10, and 04/21/10 Dennis Chavez transported late term pregna	ncy mares to slaughter through
the San	ta Teresa port. These mares foaled in the holding pens at the port prior to	entering Mexico.
"X"	Requested Information:	
	a. Copies of: Type the items you will need copies of here.	
X	Checks showing payment received from Slaughter Plant in Mexico Payment made to trucking company for shipments	
	b. Any record of a previous violation of Enter regulations violated here Any indication that they should have been familiar with the regular documents issued to them.	ations. Furnish any related
X	c. Full names and complete addresses of all persons and firms invo the shipper or trucker operates as a partnership, furnish the nam partners; if a corporation, name of the State of incorporation.	olved in the investigation. If les and addresses of the
X	d. An affidavit or written statement from the following named, who pertaining to the alleged violation. If an affidavit or statement is complete an Interview Log or Memorandum of Interview. List the people you want Affidavits or Statements from here. Dennis Chavez 24 Dalies Rd Los Lunas, NM 87031 (505) 865-4600 J&J Trucking Company ????	declined, state the reason and
	e. Other: List other information needed and or specific questions you we Dennis Chavez Address his knowledge of the mares being pregnant and his knowledge of transporting late term pregnancy mares to slaughter. Verify trucking company and obtain contact information Who paid and arranged for the trucking company to ship the horses? J&J Trucking Verify they did transport horses to the Santa Teresa Port for Chavez Address their knowledge of the regulations regarding transporting late ter slaughter. Obtain copies of payments made for trucking	of the regulation regarding
5. Enclo	osures: Please return the enclosures with your reply. ain the documents that accompany your request here.	

Memorandums from Dr. Howe regarding the violations dated 04-09-10, 04-15-10, and 04-22-10.

Additional information requests should be completed within 30 days. If the request will take longer than 30 days, notify your immediate supervisor and the primary investigator

150

APHIS Form 7153 - REQUEST FOR ADDITIONAL INFORMATION ON APPARENT VIOLATION (7 and 9; CFR)

International CVI's numbers 10-NM-014, 10-NM-015, and NM 10-NM-017. VS Forms 10-13 dated 04-08-10, 04-14-10, and 04-21-10 Photographs of the mares and foals 6. If while gathering the information requested above, you learn additional contacts need to be made in your assigned work area to obtain documents and or statements not requested, obtain these documents and statements and send them with the requested information. This will prevent a second request having to be sent to obtain this information. Please list and explain information obtained: If you are unable to obtain all the information requested be sure to explain why you could not: 7. Name: (b) (6), (b) (7) (C) 8. Signature: (investigator requesting additional info) Title: Investigator Date Requested: 10/12/10 9. Returned by: Title: Investigator Date Returned: 0/ /25///

Additional information requests should be completed within 30 days. If the request will take longer than 30 days, notify your immediate supervisor and the primary investigator



UNITED STATES DEPARTMENT OF **AGRICULTURE**

USDA, APHIS, IES WESTERN REGION 2150 CENTRE AVENUE BLDG. B-3W10 FORT COLLINS, CO 80526-8117 (970) 494-7485

January 25, 2011

Request For Information #NM10031-VS

Exhibit 1- Affidavit of Dennis Chavez.

Exhibit 2 – Interview log of J&J trucking (b)(6),(b)(7)(C)

Exhibit 3 - Letter outlining the requests made and attempts to obtain the requested records form Dennis

If there is anything else you need (b)(6) call and I will get it as quickly as possible.

USDA, APHIS, IES Investigator New Mexico and West Texas (505) 235-9552

NM10031-VS Dennis Chayez Los Lunas

Walter F Howe/NM/APHIS/USDA

To Paul Sciglibaglio/NM/APHIS/USDA@USDA

CC bcc

04/15/2010 11:50 AM

Subject Fw: preg mare 041510

I haven't looked at these, Daniel did the best he could, I'm sure, to try and take the time to download them would be prohibitive and I have other things to do I'm attaching the letter for this mare, I'll also send you a

signed original with a copy of all the documents. -- Forwarded by Walter F Howe/NM/APHIS/USDA on 04/15/2010 11:41 AM ----

Form 10-13 violation.doc

Walter F. Howe



(b)(6),(b)(7)(C) @aol.com I

04/15/2010 10:39 AM

To walter.f.howe@aphis.usda.gov

Subject preg mare 041510









I pulled the foal Doc......The mare is weak..... PICT0001.JPG PICT0002.JPG PICT0003.JPG PICT0005.JPG





PICT0006.JPG PICT0007.JPG

Date: 4-15-10

To: Dr. Sciglibaglio

From: Walter F. Howe

Subject: Report of Apparent Violation in the Movement of Slaughter Horses

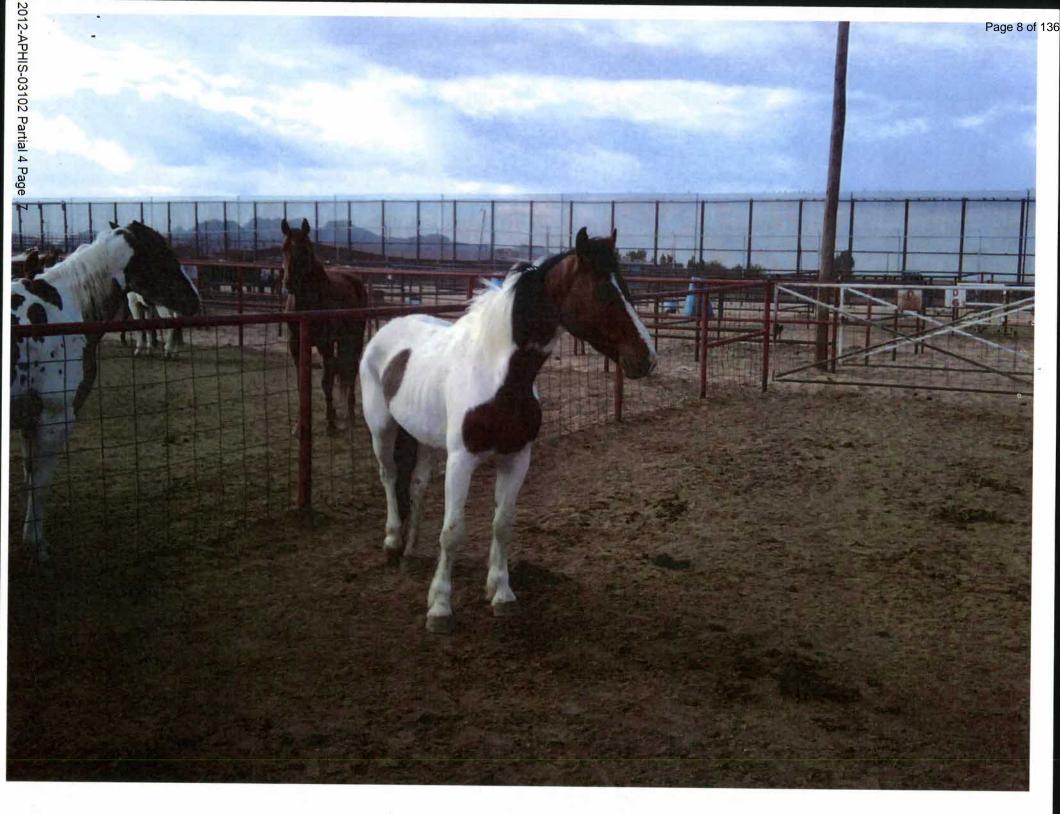
Today as I was pen inspecting slaughter horses at the Union Ganadera Stockyards at Santa Teresa, NM, I observed a bay mare with the green back tag number 1582 with a dead foal hanging out of her vulva area. This mare was described on page 3 of the VS Form 10-13 that accompanied the International Health Certificate number 10-NM015. A copy of the 10-13 is attached as well as a copy of the International Health Certificate described above. The time that I found this mare with the partially delivered foal was 0700 on the date of this letter. I have emailed you the photographs that Daniel Manzanares took today and I asked him and he said that he would try and take the profile shots of the left and right side and a face shot. Especially important would be one with the back tag on it and he said he would do his best. As soon as I have those I'll forward them to you.

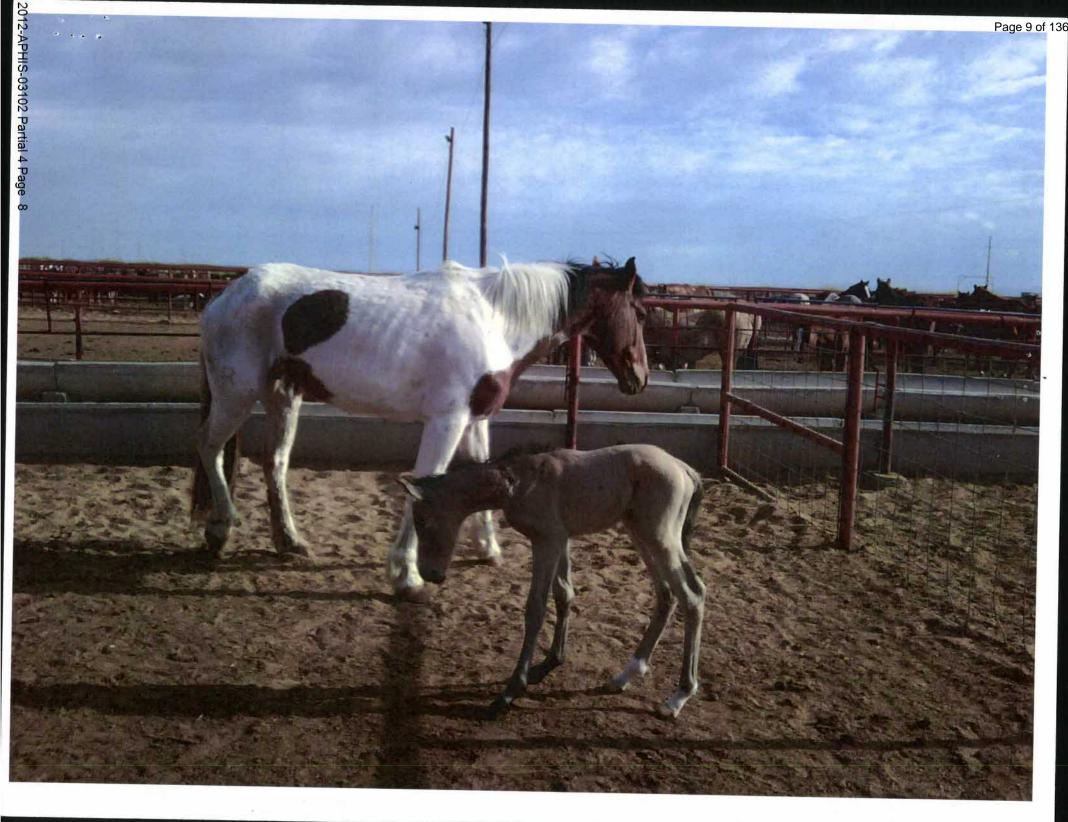
I'll remain vigilant and ready to respond to your direction.

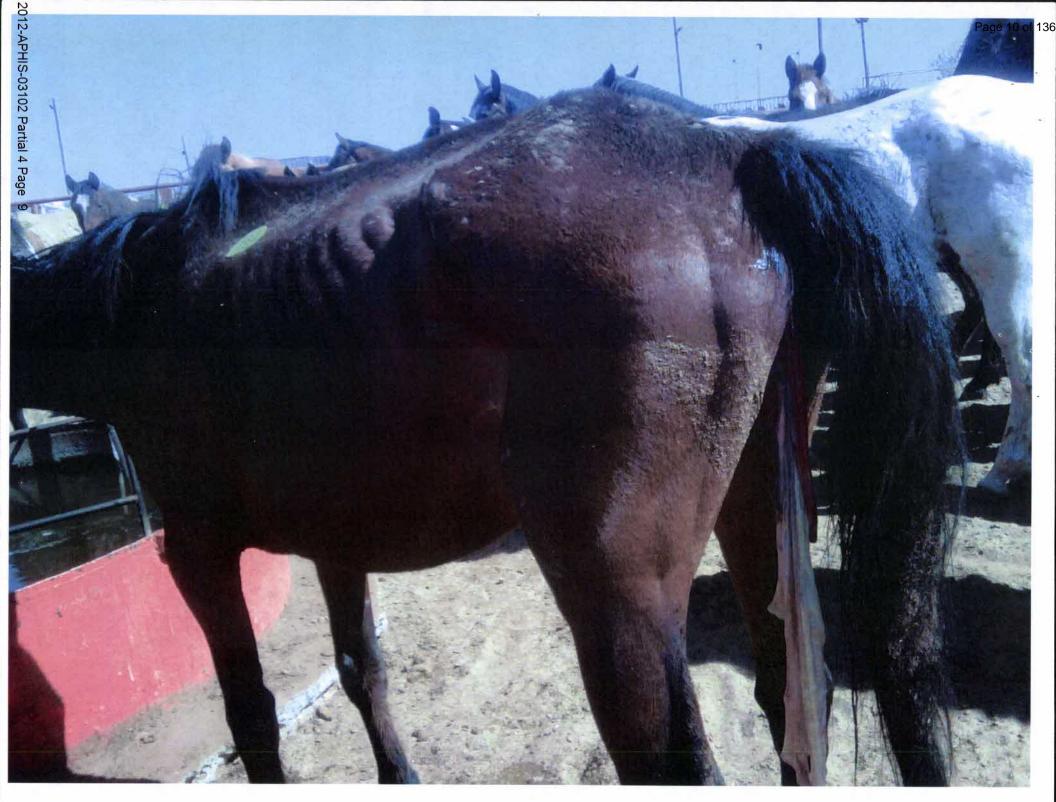
Respectfully,

Walter F. Howe DVM Santa Teresa Port Veterinarian

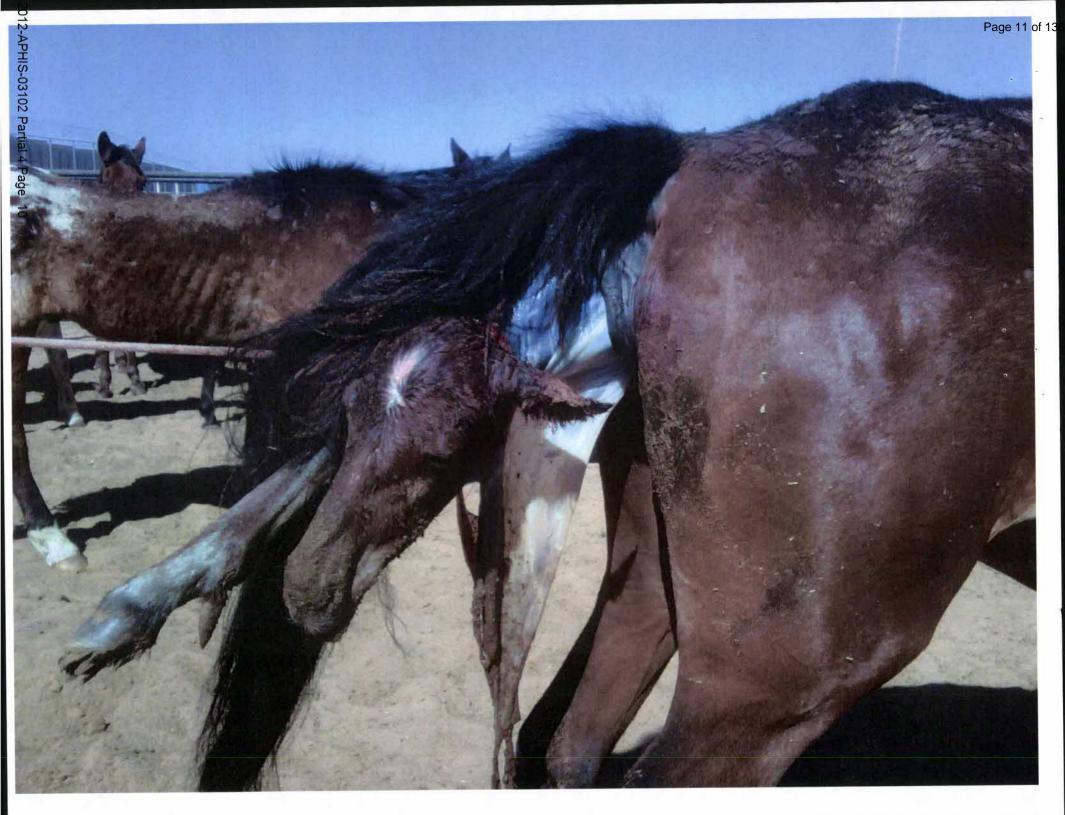








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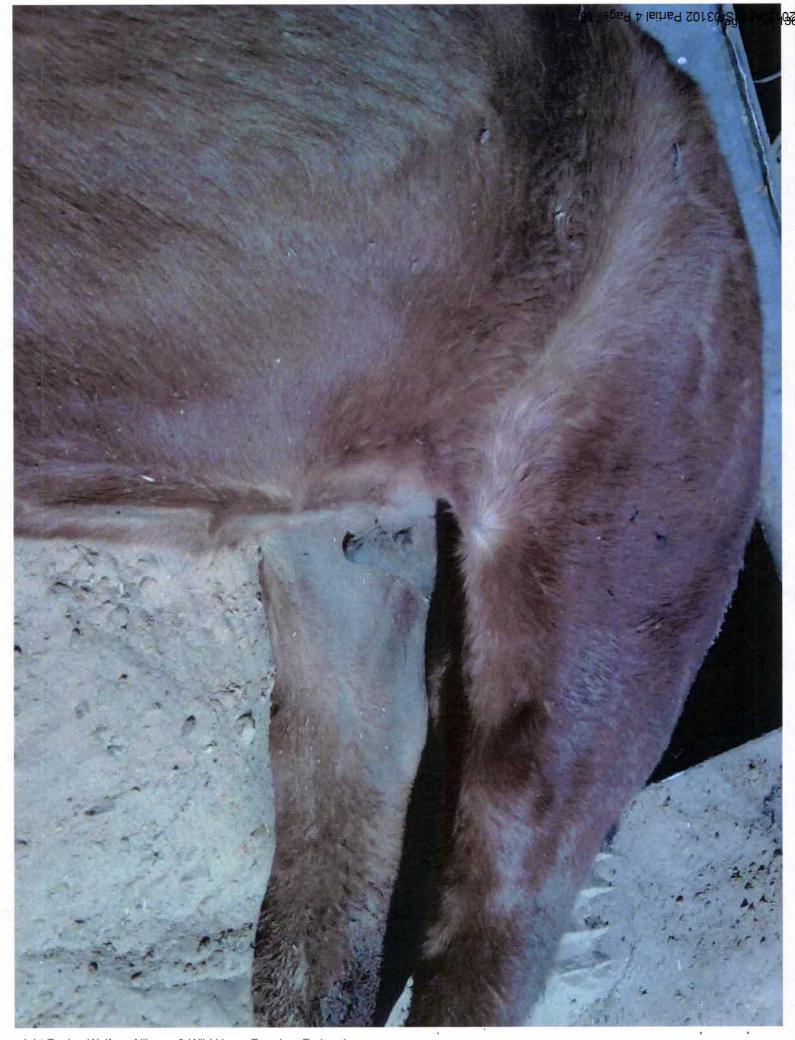




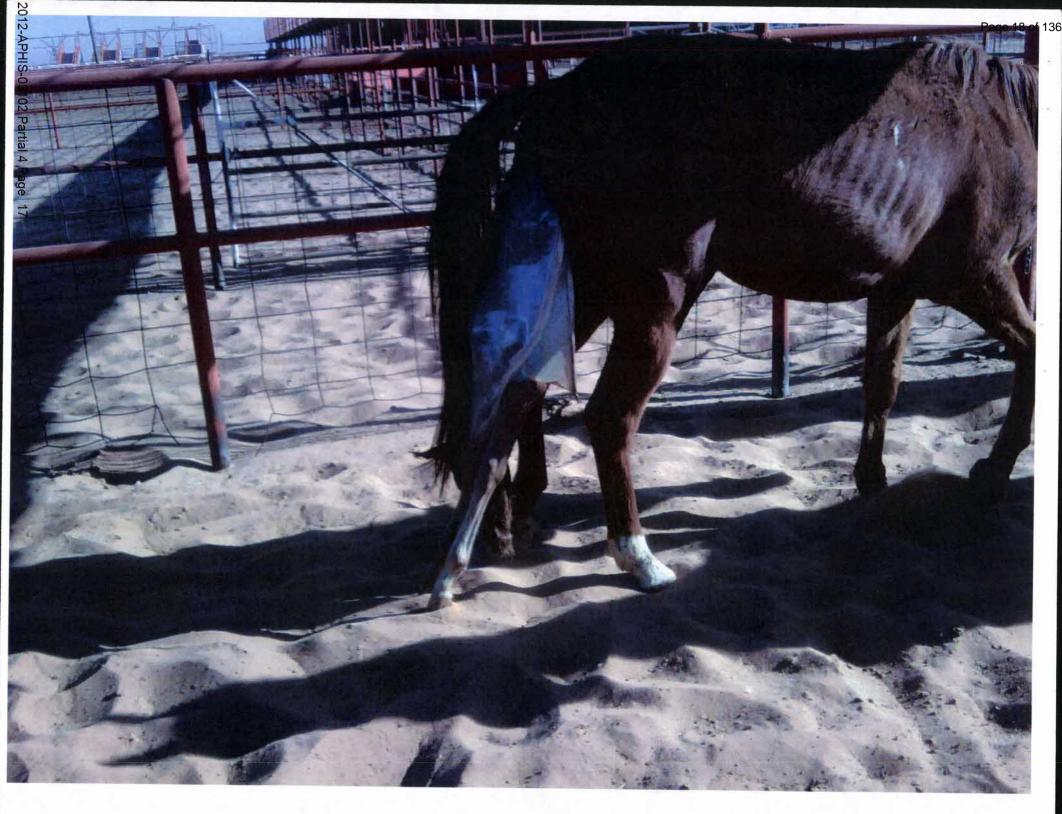
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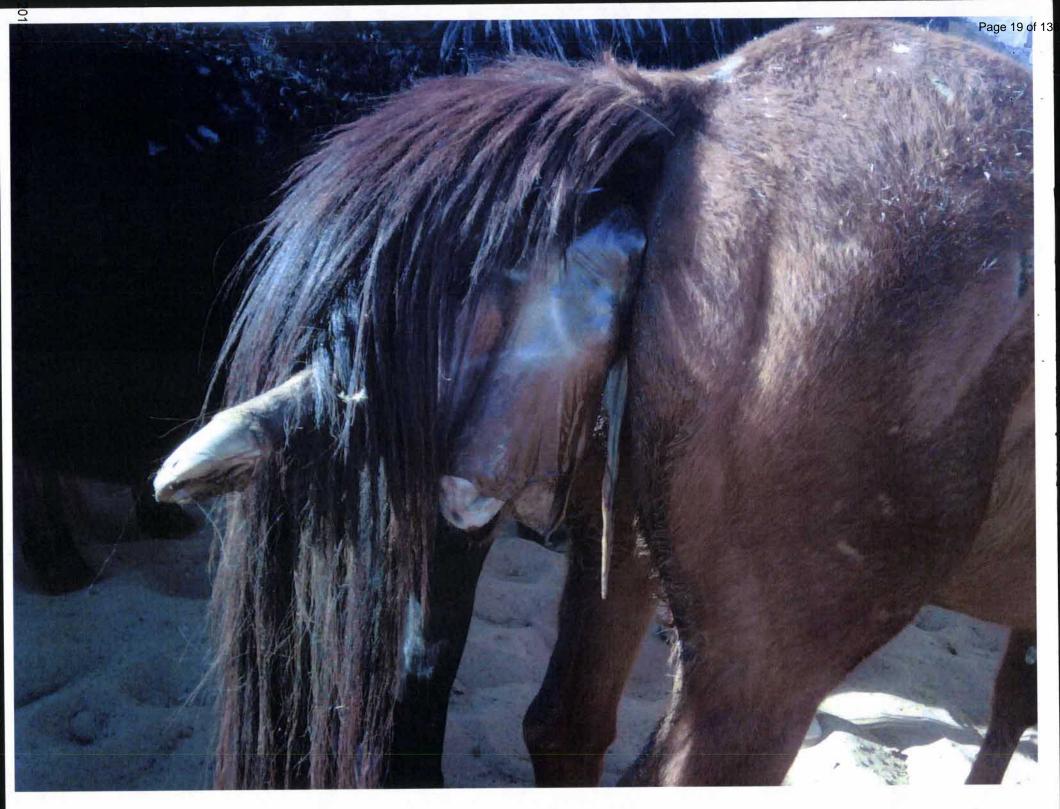


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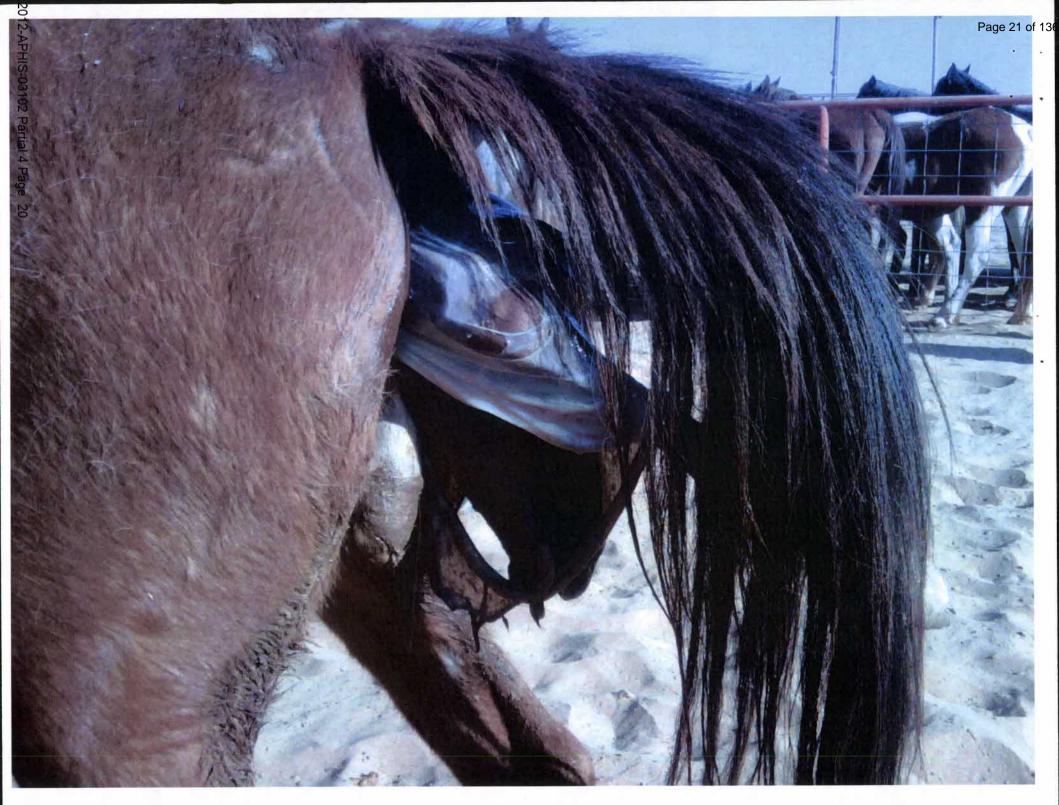


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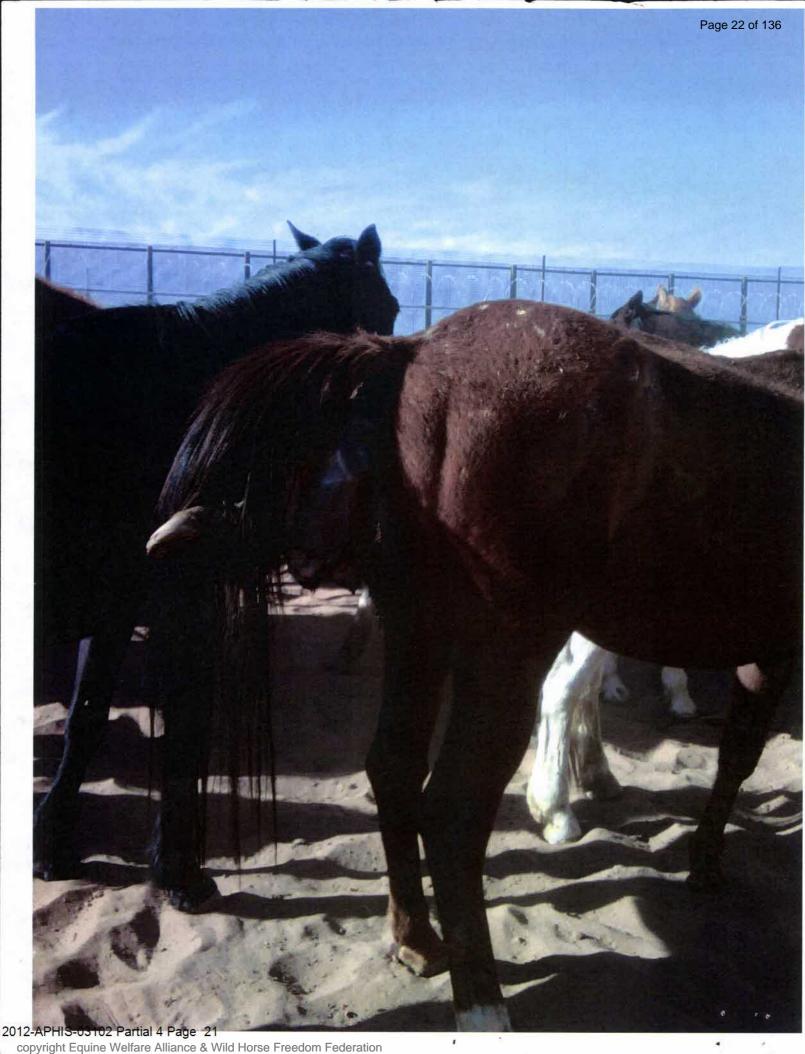


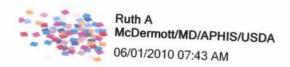






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To Elizabeth D Kelpis/CO/APHIS/USDA@USDA

CC Timothy R Fordahl/CO/APHIS/USDA@USDA, Eric S Nickerson/CO/APHIS/USDA@USDA, Crystal Brock/MD/APHIS/USDA@USDA

bcc

Subject Fw: Request for Investigation - Int'l Health Certificates for Slaughter Horses WR-170

History:

This message has been replied to.

Liz,

Please see below for a request for investigation of horses to slaughter, Dennis Chavez, Los Lunas, NM. All information submitted to IES is attached. Please ask investigator assigned to case to send the case number to Crystal Brock.

Any questions, please contact Keith Miller.

Thank you. Ruth Ann

Ruth Ann McDermott
Chief, Document Control Branch
Investigative and Enforcement Services
Riverdale, MD
301-734-0575
e-mail: Ruth.A.McDermott@aphis.usda.gov

---- Forwarded by Ruth A McDermott/MD/APHIS/USDA on 06/01/2010 08:58 AM ----



Keith G Miller/MD/APHIS/USDA 06/01/2010 08:42 AM

To Ruth.A.McDermott@aphis.usda.gov

CC

Subject Request for Investigation - Int'l Health Certificates for Slaughter Horses

Ruth Ann,

Can you have this forwarded out to the Western Region please?

Thank you

Keith

Keith G. Miller

TDY/NTE 06/19/2010
Acting Branch Chief
Animal Health and Welfare Enforcement Branch
Investigative and Enforcement Services
USDA APHIS MRPBS
(301)734-0886

---- Forwarded by Keith G Miller/MD/APHIS/USDA on 06/01/2010 08:10 AM -----



Paul G Egrie/MD/APHIS/USDA 05/28/2010 04:22 PM

To Sarah King/MD/APHIS/USDA@USDA

CC Joseph T Astling/TX/APHIS/USDA@USDA, Keith G Miller/MD/APHIS/USDA@USDA

Subject Fw: Int'l Health Certificates for Slaughter Horses

Hi Sarah

I would like to please open up 2 investigations.

In document 1 below, page 9, you will not in the right hand column the CFIA inspector wrote "this mare had aborted foal hanging out of her vulva." Clearly a violation!

Document 2, bottom of last page says "Today I saw a paint mare with a new born foal beside her....."

Thanks Gary

P. Gary Egrie, VMD Farm Animal Welfare Coordinator

USDA APHIS Veterinary Services 4700 River Road, Unit 46 Riverdale, MD 20737

(301) 734-0695 (Office) (240) 460-5986 (Cell)

---- Forwarded by Paul G Egrie/MD/APHIS/USDA on 05/28/2010 04:14 PM -----



Linda L Ingram/MD/APHIS/USDA 05/28/2010 03:43 PM

To Paul G Egrie/MD/APHIS/USDA@USDA

CC

Subject Int'l Health Certificates for Slaughter Horses

Please see the attached scans:



POF

Intl Health Cert 1.pdf Intl Health Cert 2.pdf

Linda Ingram
Program Assistant
National Center for Animal Health Programs
Veterinary Services
4700 River Road, Unit 43
Riverdale, MD 20737
Phone: 301-734-6954





Health Certificate No. 10 - N N - Page 25 of 136
(Valid only if the USDA Veterinary Seal
Appears over the Certificate Number)

WFH

TERNATIONAL HEALTH CERTIFICATE FOR SLAUGHTER HORSES EXPORTED FROM THE UNITED STATES OF AMERICA TO MEXICO CEL RIFICADO INTERNACIONAL ZOOSANITARIO PARA EXPORTAR CABALLOS PARA SACRIFICIO DE LOS ESTADOS UNIDOS A MEXICO

Note: Mexico will only accept this shipment if VS Form 10-13 and affidavit for residue are completed and presented at the border with this Health Certificate (HC). VS Form 10-13 must have HC number written in the right upper corner. Mexico will not accept sexually intact males and monorchid animals.

Nota: México aceptará este envio de caballos solamente si la forma VS FORM 10-13 y la declaración jurada están completadas y se presentan en la frontera con este Certificado Zoosanitario (CZ). El número de este CZ debe estar escrito en la parte superior derecha de la forma VS FORM 10-13. México no aceptará machos sin castrar ni monorchideos.

1. Name and Address of Exporter:

Dennis Chavez 24 Dalies Rd

Nombre y Dirección del Exportador:

Los Lunas, NM 87031

2. Name and Address of Importer:
Nombre y Dirección del Importador:

Bertha Ruiz Pacheco

Elisa Grlesen 7741 Col. Independencia 2

Juarez, Chihuaha MX

3. Identification of the animals to be exported / Identificación de los animales a ser exportados.

Microchip number / Número de microchip	Sex/Sexo	Approximate age/Edad aproximada	Microchip Number / Número de microchip	Sex / Sexo	Approximate age/ Edad aproximada
646758 586833	Gelding Gelding	7 5	560022 656982	Gelding Gelding	7 6
593232 650933	Gelding Gelding	8 5	610703 637438	Gelding Gelding	9 7
561239 588031	Gelding Gelding	5	646494 577516	Gelding Mare	5
645927 656483	Gelding Mare	7 9	649448 596499	Gelding Mare	9 5
561697 646647	Mare Gelding	8	667312 612370	Gelding Gelding	7 6
612634 614935	Gelding Mare	5 7	667097 647793	Mare Gelding	8 9
637502 656622	Gelding Mare	5 5	667610 664700	Mare Gelding	7
643287 610591	Gelding	7 5	648986 651041	Gelding Mare	6

Mexico, Slaughter horse HC



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Health Certificate No. 10 - Page 26 of 136 (Valid only if the USDA Veterinary Seal Appears over the Certificate Number)

WFB

Microchip number / Número de microchip	Sex/Sexn	Approximate age/Edad aproximada	Microchip Number / Número de microchip	Sex / Sexo	Approximat age/ Edad aproximado
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586257	Mare	6	664491	Gelding	9
647077	Gelding	5	594734	Gelding	7
611509 664655	Gelding Gelding	5 4	561786 587699	Gelding Gelding	6
637143 561129	Gelding Gelding	4 7	647453 666196	Gelding Gelding	5 9
654789 561419	Gelding Gelding	6 7	665043 593144	Gelding Gelding	7 6
647411	Gelding	5	664960	Gelding	9
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635592 664562	Gelding Gelding	4 6	592271 649239	Gelding Gelding	6 8
566886 647895	Gelding Gelding	7 5	547072 648437	Gelding Gelding	7.
560889 151907	Gelding Gelding	8 4	657792 637291	Gelding Gelding	5 8
554776 566919	Gelding Gelding	5 8	664943 636012	Mare Gelding	6 9
567462 564721	Gelding Gelding	7 4	636368 654915	Gelding Gelding	7 6
557563 535475	Gelding	4	560506	Gelding	7
549117	Gelding Gelding	. 5	653991	Gelding Gelding	9 5
545179	Gelding	5	650624	Gelding	5
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551502	Gelding	5	612872	Mare	4
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650891	Gelding	5	611251	Gelding	8
511013	Gelding	4	647626	Mare	6
587007	Mare	6	600361	Mare	8
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Import and Export

Health Certificate No. | Page 27 of 136 | : (Valid only if the USDA Veterinary Seal Appears over the Certificate Number)

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Health Certificate No O Page 28 of 186 (Valid only if the USDA Veterinary Seal Appears over the Certificate Number)

WFIF

Microchip number / Número de microchip	Sex/Sexo	Approximate age/Edad aproximada	Microchip Number / Número de microchip	Sex / Sexo	Approximate age/ Edad aproximada
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CERTIFICATION STATEMENTS / CERTIFICACIONES

1. Horses originate from the United States.

Los animales son originarios de Estados Unidos.

2. Within 30 days prior to exportation, the animals were inspected by an accredited veterinarian who did not find clinical signs of contagious or infectious diseases.

A la inspección efectuada por un veterinario oficial dentro de los 30 días previos a la exportación, los animales no presentaron signos de enfermedades infectocontagiosas.

Inspection date / Fecha de inspección April 21st 2010

3. Prior to shipment the vehicles used to transport the animals to the border were cleaned and disinfected.

Los vehículos utilizados para el transporte de los animales a la frontera fueron sometidos a limpieza y desinfección antes del embarque

4. During 90 days prior to exportation, the animals have not been on premises where contagious equine metritis was diagnosed, neither have they been in contact with infected animals, nor epidemiologically related to infected premises or animals.

Durante los 90 días previos a la exportación, los animales no han estado en explotaciones afectadas por la metritis equina contagiosa, ni han estado en contacto con animales afectados ni relacionados epidemiológicamente con instalaciones o animales infectados.



Veterinary Services

National Center for Import and Export

Health Certificate No. 10-NM-017
(Valid only if the USDA Veterinary Seal Appears over the Certificate Number)

W F

(Delete as appropriate /Remueva lo que no aplique)

5. [The animals are free of ectoparasite and originated from areas not under quarantine for Boophilus pp

[Los animales están libres de ectoparásitos y provienen de áreas no cuarentenadas por garrapatas Boophilus spp.][

C.Y Brasmer

Name of Accredited Veterinarian Nombre del Médico Veterinario Acreditado (b)(6),(b)(7)(C)

Name of Endorsing rederal Veterinarian Nombre del Médico Veterinario Federal que endosa.

(b)(6),(b)

(7)(C)

4/21/2010

Signature of Accredited Veterinarian and Date Firma del Médico Veterinario Acreditado y Fecha (b)(6),(b)(7)(C)

4/22/1

and Date

and Date

Firma del Médico Veterinario que endosa y Fecha

(Valid only if the USDA Veterinary Seal appears over the signature of the Endorsing Federal Veterinarian.) (Válido Solamente si el sello veterinario del USDA está sobre la firma del Médico Veterinario Federal).

I (print) Dennis Chavez declare that the horses included in this shipment have not been fed to or treated within the last one hundred eighty (180) days prior to shipment with the following compounds, plants or drugs.

Por este medio declaro que los caballos en este embarque no han sido alimentados o tratados con ninguno de los siguientes compuestos, plantas o medicamentos durante los ciento ochenta días antes del embarque.

1. Aristolochia spp and any other preparation derived of this plant, chloramphenicol, chloroform, chlorpromazine, colchicine, dapsone, dimetridazole, metronidazole, nitrofurans (including furazolidone), and ronidazole.

Aristolochia spp y cualquier otra preparación derivada de esta planta, cloranfenicol, cloroformo, clorpromazina, colchicine, dapsona, demetridazole, metronidazol, nitrofurans (incluyendo furazolidona) y ronidazol.

2. The following compounds were not used as growth promoters: zilpaterol, clenbuterol, raptopamine, and anabolic steroids.

Los siguientes compuestos no se usaron como promotores del crecimiento: zilpaterol, clenbuterol, raptopamine, así como esteroides anabólicos.

3. The following thirosthatics were not used: thiouracil, methyluracil phenylthiouracil and propylthiouracil.

Que no fueron empleados los siguientes tirostáticos: tiouracilo,

metiluracilo, feniltiuracilo y propiltiuracilo

Date and signature of the exporter Fecha y firma del exportador (b)(6),(b)(7)(C) 4/21/2010

Date and signature of the Notary Public Fecha y firma del Notario Público (b)(6).(b)(7)(C) 21/2010



LAURA L. CLEM
NOTARY PUBLIC-STATE OF NEW MEXICO

My commission expires: 12/20/20/0

OWNER/SHIPPER CERTIFICATE FITNESS TO TRAVEL TO A SLAUGHTER FACILITY

(Please type or print in ink)

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0579-0160. The time required to complete this information collection is estimated to average 5 min. per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

FORM APPROVED OMB NO. 0579-0160

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OWNER/SHIPPER CERTIFICATE FITNESS TO TRAVEL TO A SLAUGHTER FACILITY (CONTINUATION SHEET)

(Please type or print in ink)

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FORM APPROVED OMB NO. 0579-0160

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SIGNATURE OF OWNER/SHIPPEI

and correct to the best of my knowledge.)

(b) (6), (b) (7) (C)

VS FORM 10-13A

PAGE OF 7

OWNER/SHIPPER CERTIFICATE FITNESS TO TRAVEL TO A SLAUGHTER FACILITY (CONTINUATION SHEET)

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FORM APPROVED OMB NO. 0579-0160

Page 33 of 136

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SIGNATURE OF OWNER/SHIPPER(I certif

nd correct to the best of my knowledge)

(b)(6),(b)(7)(C)

VS FORM 10-13A 2012-APH#IS≫03102 Partial 4 Page 32 PAGE 3 OF 1

OWNER/SHIPPER CERTIFICATE FITNESS TO TRAVEL TO A SLAUGHTER FACILITY (CONTINUATION SHEET)

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FORM APPROVED OMB NO. 0579-0160

10-NN-017

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SIGNATURE OF OWNER/SHIPPER(I certify

correct to the best of my knowledge.)

(b) (6), (b) (7) (C)

OWNER/SHIPPER CERTIFICATE FITNESS TO TRAVEL TO A SLAUGHTER FACILITY (CONTINUATION SHEET)

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FORM APPROVED OMB NO. 0579-0160

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SIGNATURE OF OWNER/SHIPPER(I certify t

e best of my knowledge.)

(b)(6),(b)(7)(C)

OWNER/SHIPPER CERTIFICATE FITNESS TO TRAVEL TO A SLAUGHTER FACILITY (CONTINUATION SHEET)

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	TAC	TAG Tag		COL	OR DE	SCRIPT	ION			BR	EED/TY	PE.			SEX		BRANDS	REMARKS
	PREFIX	NO	Bay	Grey	Blk.	Pinto	Chestn	Other	тв	QT	Draft	Pony	Other	Mare	Stal	Geld	Tattoos, etc.	Include precondition
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I HEREBY AUTHORIZE THE CFIA TO DISCLOSE THIS DOCUMENT AND THE INFORMATION IN IT AS COMPLETED BY THE CFIA TO THE USDA. FALSIFICATION OF THIS FORM OR KNOWINGLY USING A FALSIFIED FORM IS A CRIMINAL OFFENSE AND MAY RESULT IN A FINE OF NOT MORE THAN \$10,000 OR IMPRISONMENT FOR NOT MORE THAN 5 YEARS OR BOTH (18 U.S.C. SECTION 1001).

SIGNATURE OF OWNER/SHIPPER(I certify that

to the best of my knowledge)

(b) (6), (b) (7) (C)

PAGE Le OF

OWNER/SHIPPER CERTIFICATE FITNESS TO TRAVEL TO A SLAUGHTER FACILITY (CONTINUATION SHEET)

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0579-0160. The time required to complete this information collection is estimated to average 5 min. per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

Page 37 of 136

FORM APPROVED OMB NO. 0579-0160

0-NN-017

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SIGNATURE OF OWNER/SHIPPER(I co

(b) (6), (b) (7) (C)

o the best of my knowledge.)





Health Certificate No. 10 - NN - Page 38 of 136 (Valid only if the USDA Veterinary Scale 38 of 136 Appears over the Certificate Number)

INTERNATIONAL HEALTH CERTIFICATE FOR SLAUGHTER HORSES EXPORTED FROM THE UNITED STATES OF AMERICA TO MEXICO CERTIFICADO INTERNACIONAL ZOOSANITARIO PARA EXPORTAR CABALLOS PARA SACRIFICIO DE LOS ESTADOS UNIDOS A MEXICO

Note: Mexico will only accept this shipment if VS Form 10-13 and affidavit for residue are completed and presented at the border with this Health Certificate (HC). VS Form 10-13 must have HC number written in the right upper corner. Mexico will not accept sexually intact males and monorchid animals.

Nota: México aceptará este envío de caballos solamente si la forma VS FORM 10-13 y la declaración jurada están completadas y se presentan en la frontera con este Certificado Zoosanitario (CZ). El número de este CZ debe estar escrito en la parte superior derecha de la forma VS FORM 10-13. México no aceptará machos sin castrar ni monorchídeos.

1. Name and Address of Exporter: Dennis Chavez

Nombre y Dirección del Exportador:

24 Dalies Rd

Los Lunas, NM 87031

2. Name and Address of Importer:

Nombre y Dirección del Importador:

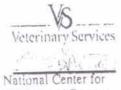
Bertha Ruiz Pacheco

Calle Elisa Griensen 7741

Col. Independencia 2, Cd. Juarez, Chih 3. Identification of the animals to be exported / *Identificación de los animales a ser* exportados.

Microchip number / Número de microchip	Sex/Sexo	Approximate age/Edad aproximada	Microchip Number / Número de microchip	Sex / Sexo	Approximate age/ Edad aproximada
643869	Gelding	7	600410	Mare	7
559381	Mare	4	586130	Mare	
583838	Gelding	4	560857	Mare Gelding Mare Mare Mare Mare Mare	5
619773	Gelding	6	657166		4
550526	Mare	8	585675		8
646799	Mare	4	610974		4
637696	Gelding	5	566139		7
578522	Gelding	8	584180		4
587260	Gelding	3	551283	Mare	6
610548	Mare	8	549446	Gelding	7
550475	Gelding	4	560804	Mare	4
610597	Gelding	7	598499	Mare	
559731	Mare	5	610363	Mare	9
635371	Mare	4	588178	Mare	6
600490	Mare	1	583517	Mare	6
557809	Gelding	3	614419	Mare	7





Import and Export

Health Certificate No. 10 - NM - 015
(Valid only if the USDA Veterinary Seal Appears over the Certificate Number)

Microchip number / Número de microchip	Sex/Sexo	Approximate age/Edad aproximada	Microchip Number / Número de microchip	Sex / Sexo	Approximate age/ Edad aproximada
651037 585507	Mare Mare	7 6	613665	Gelding	7
647192 583903	Mare Gelding	8	594630 599569	Mare Mare	5 8 -
610398 615973	Mare Mare	7	339488 516756	Mare Gelding	7 6
637160 611218	Mare Mare	8 7	655827 645948	Mare Gelding	8 7
655859	Mare	6 7	565210 ~ 600115	Mare Gelding	6 7
4b1335531 646103	Mare Gelding	5 8	549188 368943	Mare Gelding	6 7
600791 644572 588741	Gelding Gelding	7 8	635068 608498	Mare Gelding	5 7
646603	Gelding Mare	6	329454 551927	Mare Gelding	7 6
554518 587362	Mare Gelding	7 7	646194 610607	Gelding Mare	8 7
593745	Mare Mare	4 8	561081 471519	Mare Mare	9 7
611916 664407 586085	Mare Mare	6 5	598493 642828	Gelding Mare	6 7
645343	Mare Mare	. 7	588201 610313	Mare Gelding	6 7
656614 612919 651514	Mare Mare	8 4	666015 585535	Mare Gelding	5
647216 566448	Mare Gelding	4 7	666581 635594	Gelding Mare	6
592840 612414	Gelding Mare	8 5	611872 558323	Mare Gelding	5 4
588515 566611	Mare Mare	7 5	587692 549670	Gelding Mare	8 5
558314 637727	Mare Mare	8 7	565264 650012	Mare Gelding	5 7
649470 560061	Gelding Mare	4 6	661324 611096	Gelding Mare	5 7
592264 611093	Mare Mare	8 7	560540 614213	Gelding Mare	9 7
566367 561213	Mare Mare	5 7	579790 594394	Mare Gelding	5 6





Health Certificate No. 10-N M-015 (Valid only if the USDA Veterinary Seal Appears over the Certificate Number)

Nationa	Ce	nter	for
Import	and	Exp	nort

Microchip number / Número de microchip	Sex/Sexo	Approximate age/Edad aproximada	Microchip Number / Número de microchip	Sex / Sexo	Approximat age/ Edad aproximado
560582 588575	Mare Gelding	6 8	645763 592389	Mare Gelding	7 6
646795 550733	Mare Mare	5 4	560448 561319	Mare Mare	8 5
649081 646734	Gelding Mare	7 6	613065 611575	Gelding Mare	4 7
561779 647838	Mare Gelding	9	655850 592150	Gelding Mare	4
592859 649345	Mare Mare	4 8	649781 ~ 647220	Gelding Mare	9 7
592487 547728	Mare Mare	7 5	643066 647082	Gelding Mare	6
648972 594328	Gelding Gelding	4 5	644440 611518	Mare Mare	5 4
650680 592449	Mare Mare	6 7	593172 561588	Mare Gelding	7 8
593781 611780	Mare Mare	8 5	593587 594819	Gelding Mare	5 7
560847 593607	Gelding Mare	5 5	650575 649590	Gelding Mare	4 7
593495 560279	Gelding Mare	5 7	647858 646394	Mare Gelding	6 3
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Microchip number / Número de	Sex/Sexo	Approximate age Edad aproximada	Microchip Number / Número de microchip	Sex / Sexo	age/ Edad aproximada
microchip					
			N. C.	_	

CERTIFICATION STATEMENTS / CERTIFICACIONES

- 1. Horses originate from the United States. Los animales son originarios de Estados Unidos
- 2. Within 30 days prior to exportation, the animals were inspected by an accredited veterinarian who A la inspección efectuada por un veterinario oficial dentro de los 30 días previos a la exportación, los did not find clinical signs of contagious or infectious diseases animales no presentaron signos de enfermedades infectocontagiosas Inspection date / Fecha de inspección
- 3 Prior to shipment the vehicles used to transport the animals to the border were cleaned and

Los vehículos utilizados para el transporte de los animales a la frontera fueron sometidos a limpieza y desinfección antes del embarque

4. During 90 days prior to exportation, the animals have not been on premises where contagious equine metritis was diagnosed, neither have they been in contact with infected animals, nor epidemiologically

Durante los 90 días previos a la exportación, los animales no han estado en explotaciones afectadas por la metritis equina contagiosa, ni han estado en contacto con animales afectados ni relacionados epidemiológicamente con instalaciones o animales infectados.

Mexico, Slaughter horse HC





Health Certificate No 10-NN-0 Page 42 of 136 (Valid only if the USDA Veterinary Seal Appears over the Certificate Number)

(Delete as appropriate /Remueva lo que no aplique)

5. [Within 5 days prior to export, the animals were dipped in coumaphos at 400 ppm. Spraying of the product is only authorized using a motor pump with coumaphos at 400 ppm.]

(NOM-019-ZOO-1994)]

[Los animales fueron tratados dentro de los 5 días previos al embarque con un baño de inmersión con coumaphos a concentración de 400 ppm. Solamente se autoriza el haño de aspersión cuando se utilice bomba de motor y se aplique una dosis de 400 ppm de coumaphos]

(NOM-019-ZOO-1994)]

4/10/2010

(b)(6),(b) (7)(C)

C.Y Brasmer Name of Accredited Veterinarian Nombre del Médico Veterinario

Acreditado

(b)(6),(b)(7)(C)

Name of Endorsing Federal Veterinarian Nombre del Médico Veterinario Federal que endosa.

(b)(6),(b) (7)(C)

4/14/2010

d Veterinarian and Date

Firma del Médico Veterinario Acreditado v Fecha

(b) (6), (b) (7) (C)

Signature of Endorsing Federal Veterinarian and Date

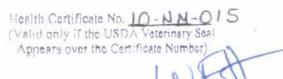
Firma del Médico Veterinario que endosa

(Valid only if the USDA Veterinary Seal appears over the signature of the Endorsing Federal Veterinarian.) (Válido Solamente si el sello veterinario del USDA está sobre la firma del Médico Veterinario Federal)

Mexico, Slaughter horse HC







AFFIDAVIT DECLARACIÓN JURADA

Ī.	Dennis Chavez	declare that, to my best knowledge horses
	not been fed or treated within the le	mpanied by the health certificate # 10 - NM- 015 have as one hundred and eighty days (180) prior to shipment with the following the health certificate # 10 - NM- 015 have
	Por este medio declaro que a mi sa	aber y entender los caballos en este embarque, acompañados o <u>10-NN-015</u> no han sido allmentados o tratados con tos, plantas o medicamentos durante los ciento ochenta (180) días ante

- 1. Aristolochia spp and any other preparation derived of this plant, chloramphenicol, chloroform, chlorpromazine, colchicine, dapsone, dimetridazole, metronidazole, nitrofurans (included furazolidone), ronidazol.

 Aristolochia spp y cualquier otra preparación derivada de esta planta, cloranfenicol, cloroformo, clorpromazina, colchicine, dapsona, demetridazole, metronidazol, nitrofurans (incuding furazolidona) y rodinazole.
- The following compounds were not used as growth promoters: zilpaterol, clembuterol and raptopamine.
 Los siguientes compuestos no se usaron como promotores del crecimiento: zilpaterol, clembuterol y raptopamina.

3. The following thyrosthatics substances were not used: thiouracil, methylthiouracil phenylthiouracil and propylthiouracil

Que no fueron empleados los siguientes tirostáticos: tiouracilo, metiluracilo, feniltiuracilo y propiltiuracilo.

Date and signature of the exporter

Pecha y firma del exportador

Date and signature of the Notar

Fecha y firma del Notario Publ

OFFICIAL SEAL

Joan Chavez

NOTARY PUBLIC
STATE OF NEW MEXICO

Mexico. Slaughter horse HC

OWNER/SHIPPER CERTIFICATE FITNESS TO TRAVEL TO A SLAUGHTER FACILITY

(Please type or print in ink)

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OWNER/SHIPPER CERTIFICATE FITNESS TO TRAVEL TO A SLAUGHTER FACILITY (CONTINUATION SHEET)

(Please type or print in ink)

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10-NN-01

TAG	Tag	COLOR DESCRIPTION							BR	EED/TY	PE		1	SEX		ERANDS	REMARKS include
PREFIX	NO	Bay	Grey	Blk.	Pinto	Chestn	Other	тв	QT	Draft	Pony	Other	Mare	Stal	Geld	Tattoos, etc	precondition
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SIGNATURE OF OWNER/SHIPPER(I certify that

and correct to the best of my knowledge.)

(b) (6), (b) (7) (C)

OWNER/SHIPPER CERTIFICATE FITNESS TO TRAVEL TO A SLAUGHTER FACILITY (CONTINUATION SHEET)

(Please type or print in ink)

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Page 46 of 136 FORM APPROVED OMB NO 0579-0160

10-NN-015

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SIGNATURE OF OWNER/SHIPPER(I certify that the information contained in this form is true and correct to the best of my knowledge.)

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PAGE 3 OF 6

OWNER/SHIPPER CERTIFICATE FITNESS TO TRAVEL TO A SLAUGHTER FACILITY (CONTINUATION SHEET)

(Please type or print in ink)

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid CMB control number for this information collection is 0579-0160. The time required to complete this information collection is estimated to average 5 min. per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

Page 47 of 136 FORM APPROVED OMB NO. 0579-0160

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HEREBY AUTHORIZE THE CFIA TO DISCLOSE THIS DOCUMENT AND THE INFORMATION IN IT AS COMPLETED BY THE CFIA TO THE USDA. FALSIFICATIO

OF THIS FORM OR KNOWINGLY USING A FALSIFIED FORM IS A CRIMINAL OFFENSE AND MAY RESULT IN A FINE OF NOT MORE THAN \$10,000 O

MPRISONMENT FOR NOT MORE THAN 5 YEARS OR BOTH (18 U.S.C. SECTION 1001).

SIGNATURE OF OWNER/SHIPPER(I certify that the

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OWNER/SHIPPER CERTIFICATE FITNESS TO TRAVEL TO A SLAUGHTER FACILITY (CONTINUATION SHEET)

(Please type or print in ink)

According to the Paperwork Reduction Act of 1995, no persons age 48 of 136 are required to respond to a collection of information unless it displays a valid OMB control number. The valid CMB control number for this information collection is 0579-0160. The time required to complete this information collection is estimated to average 5 min per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

FORM **APPROVED** OMB NO 0579-0160

10-MM-01

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I HEREBY AUTHORIZE THE CFIA TO DISCLOSE THIS DOCUMENT AND THE INFORMATION IN IT AS COMPLETED BY THE CFIA TO THE USDA FALSIFICATION OF THIS FORM OR KNOWINGLY USING A FALSIFIED FORM IS A CRIMINAL OFFENSE AND MAY RESULT IN A FINE OF NOT MORE THAN \$10,000 C IMPRISONMENT FOR NOT MORE THAN 5 YEARS OR BOTH (18 U.S.C. SECTION 1001)

SIGNATURE OF OWNER/SHIPPER(I certify that the information contained in thi

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2012-APHIS-03102 Partial 4 Page 47

VS FORM 10.13 Welfare Alliance & Wild Horse Freedom Federation

PAGE 5 OF /.

OWNER/SHIPPER CERTIFICATE FITNESS TO TRAVEL TO A SLAUGHTER FACILITY (CONTINUATION SHEET)

According to the Paperwork Reduction Act of 1995 no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0579-0160. The time required to complete this information collection is estimated to average 5 min. per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the Page 49 of 136

FORM APPROVED OMB NO. 0579-0160

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15º03102 Partial 4 Page 48

PAGE OF_

Wild Horse Freedom Federation copyriah

Mail to:

USDA, APHIS PO Box 979043 St. Louis, MO 63197-9000 From:

USDA, APHIS, IES 4700 River Road, Unit 85 Riverdale, MD 20737

The enclosed payment is for a Docket or Stipulation. Apply to:

Stipulation Case # NM10031	
Check # _1744	
Amount 375	
Tax Identification #	
Violator Name Dennis Chavez	
Docket #	
Check #	
Amount	
Tax Identification #	
Violator Name	

Crystal Brock Sender Signature

07/27/2011 Date

301-734-6491

Phone Number

Dennis V. Chavez L.L.C.			174
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CERTIFIED MAIL RETURN RECEIPT

United States Department of Agriculture

Animal and Plant Health Inspection Service

Marketing & Regulatory **Programs Business** Services

4700 River Road Riverdale, MD 20737

Dennis V. Chavez 24 Dalies Rd 700 Los Lunas, NM 87031

Dear Mr. Chavez:

Animal and Plant Health Inspection Service (APHIS) enforces regulations to protect the health and care of animals, plants, and agricultural industry. Violations of these regulations jeopardize the animal and plant health systems that are vital to protect American agriculture.

Our investigation shows that you have violated the United States Code of Federal Regulations as described on the enclosed Stipulation. APHIS laws and regulations provide for administrative and criminal penalties to enforce these regulatory requirements, including civil penalties of up to \$5,000 fdocumented in our inves

'ES POSTAL SERVICE

The Secretary of Agri hearing, impose civil right to a hearing and signing the enclosed §

Please pay the \$3,750 Treasurer of the Unite Case Number NM100 signed Stipulation to:

If we do not receive yo wish to exercise your r forwarded to the Depar Please know that the pa

Postage & Fees Paid Permit No. G-10 ame, address, and ZIP+4 in this box . Sender: Please print y USDA/APHIS/IES 4700 River Rd, Unit 85 Riverdale, MD 20737-1234

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civil penalty APHIS may seek should we refer this matter to OGC our office at telephone number (301) 734-8684 if you U.S. Postal Service 114 CERTIFIED MAIL RECEIPT

(b)(6),(b)(7)(C)

Saran L. Conam

Chief, Animal Health and Welfare Enforcement Investigative and Enforcement Services

Enclosure

P. Sciglibaglio, AVIC, NM E. Nickerson IFS WR APHIS:IES: (b)(6),(b)(7)(C)

J. Astling, ETP, NM E. Kelpis, IES. WR 6/16/11[07/15/11]:I:DCS:NM100

Postage Certified Fee 口 Return Receipt Fee 1000 (Endorsement Required) Restricted Delivery Fee (Endorsement Required) 077 Total Postage & Fees

Sent To

Street, Apt. No.; or PO Box No. City, State, ZIP+4

PS Form 3800, August 200

(Domestic Mail Only; No Insurance Coverage Provided)

NM10031



Safeguarding American Agriculture APHIS is an agency of USDA's Marketing and Regulatory Program

An Equal Opportunity Provider and Employer

2012-APHIS-03102 Partial 4 Page 52

copyright Equine Welfare Alliance & Wild Horse

Investigative and Enforcement Services

Settlement Agreement

USDA, APHIS, IES 4700 River Road, Unit 85 Riverdale, MD 20737 Phone: (301) 734-8684 Fax: (301) 734-4328

RESPONDENT:	
Dennis V. Chavez	
24 Dalies Road	
Los Lunas, NM 87031	

CASE NUMBER NM10031-VS **DUE ON OR BEFORE** CONTACT PHONE

August 29, 2011 (b)(6),(b)(7)(C)

DATE

CITATION

DESCRIPTION

On or about 4/8/2010	9 CFR § 88.4(c)	Requirements for transport. The respondent shipped 159 equines from Los Lunas, New Mexico destined for slaughter in Chihuahua, Mexico; however, the respondent failed to handle the equines in commercial transportation as expeditiously and carefully as possible so as to avoid causing unnecessary discomfort, stress, physical harm or trauma, in that one horse (USFH 1576) was unfit to travel as it foaled during the trip.
		(Continued on page 2)

PENALTY \$3,750

TERMS

Titles 7, 15, 19, and 21 of the United States Code authorize the Secretary of Agriculture, after providing notice and opportunity for a hearing, to impose civil penalties and other sanctions to resolve violations.

Prior to the issuance of a formal complaint seeking sanctions under the Act, The Department may enter into a stipulation to resolve the above-described violations. Your payment constitutes a waiver of your right to a hearing, a finding that the violations of law have occurred, and settlement of such violations.

If you do not pay the specified penalty within the designated time or wish to exercise your right to a hearing, this matter will be forwarded to the Office of the General Counsel for litigation. The penalty offered in this stipulation is not relevant to the sanctions the Department may seek, or that will be assessed, upon issuance of a formal complaint.

Signature of Respondent:

Date:

PAYMENT RECORD - FOR IES USE ONLY

Payment Type	Date	Amount	Signature of IES Representative

T04 – Settlement Agreement Revised 11/15/05

DATE	CITATION	DESCRIPTION
On or about 4/14/2008	9 CFR § 88.4(c)	Requirements for transport. The respondent shipped 147 equines from Los Lunas, New Mexico destined for slaughter in Chihuahua, Mexico; however, the respondent failed to handle the equines in commercial transportation as expeditiously and carefully as possible so as to avoid causing unnecessary discomfort, stress, physical harm or trauma, in that one horse (USFH 1582) was unfit to travel as it foaled during the trip.
On or about 4/21/2010	9 CFR § 88.4(c)	Requirements for transport. The respondent shipped 186 equines from Los Lunas, New Mexico destined for slaughter in Chihuahua, Mexico; however, the respondent failed to handle the equines in commercial transportation as expeditiously and carefully as possible so as to avoid causing unnecessary discomfort, stress, physical harm or trauma, in that one horse was unfit to travel as it foaled during the trip. "Handling or all equines in commercial transportation to a slaughtering facility shall be done as expeditiously and carefully as possible in a manner that does not cause unnecessary discomfort, stress, physical harm, or trauma."







CERTIFIED MAIL RETURN RECEIPT

United States Department of Agriculture

Animal and Plant Health Inspection Service

Service

Marketing & Regulatory

Programs Business

4700 River Road Riverdale, MD 20737

Services

Dennis V. Chavez 24 NW 102nd Ave., Suite1 Doral, FL 33172-2225

Dear Mr. Chavez:

Animal and Plant Health Inspection Service (APHIS) enforces regulations to protect the health and care of animals, plants, and agricultural industry. Violations of these regulations jeopardize the animal and plant health systems that are vital to protect American agriculture.

Our investigation shows that you have violated the United States Code of Federal Regulations as described on the enclosed Stipulation. APHIS laws and regulations provide for administrative and criminal penalties to enforce these regulatory requirements, including civil penalties of up to \$5,000 for each of the violations documented in our investigation.

The Secretary of Agriculture may, after providing notice and opportunity for a hearing, impose civil penalties or other sanctions. You may, however, waive your right to a hearing and settle this matter by paying \$3,750 by July 25, 2011, and signing the enclosed Stipulation.

Please pay the \$3,750 penalty by certified check or money order made payable to the Treasurer of the United States or go to www.pay.gov to pay by credit card. Write the Case Number NM10031-VS on your check or money order and mail it with the signed Stipulation to:

USDA, APHIS, (General) (NM10031-VS) P. O. Box 979043 St. Louis, MO 63197-9000

If we do not receive your signed stipulation agreement and payment or notice that you wish to exercise your right to a hearing by July 25, 2011, this matter will be forwarded to the Department's Office of the General Counsel (OGC) for litigation. Please know that the penalty offered in this Stipulation represents a fraction of the civil penalty APHIS may seek should we refer this matter to OGC. You may contact our office at telephone number (301) 734-8684 if you have any questions.

Sincerely,

(b)(6),(b)(7)(C)

Sarah L. Conant Chief, Animal Health and Welfare Enforcement Branch Investigative and Enforcement Services

Enclosure



Safeguarding American Agriculture
APHIS is an agency of USDA's Marketing and Regulatory Program

An Equal Opportunity Provider and Employer



Investigative and Enforcement Services

Settlement Agreement

USDA, APHIS, IES 4700 River Road, Unit 85 Riverdale, MD 20737 Phone: (301) 734-8684 Fax: (301) 734-4328

DATE

RESPONDENT:	CASE NUMBER	NM10031-VS
Dennis V. Chavez 24 Dalies Road Los Lunas, NM 87031	DUE ON OR BEFORE CONTACT PHONE	July 25, 2011 (b)(6),(b)(7)(C)

On or about 4/8/2010

Requirements for transport.

The respondent shipped 159 equines from Los Lunas, New Mexico destined for slaughter in Chihuahua, Mexico; however, the respondent failed to handle the equines in commercial transportation as expeditiously and carefully as possible so as to avoid causing unnecessary discomfort, stress, physical harm or trauma, in that one horse (USFH 1576) was unfit to travel as it foaled during the trip.

(Continued on page 2)

PENALTY \$3,750 TERMS

CITATION

Titles 7, 15, 19, and 21 of the United States Code authorize the Secretary of Agriculture, after providing notice and opportunity for a hearing, to impose civil penalties and other sanctions to resolve violations.

Prior to the issuance of a formal complaint seeking sanctions under the Act, The Department may enter into a stipulation to resolve the above-described violations. Your payment constitutes a waiver of your right to a hearing, a finding that the violations of law have occurred, and settlement of such violations.

If you do not pay the specified penalty within the designated time or wish to exercise your right to a hearing, this matter will be forwarded to the Office of the General Counsel for litigation. The penalty offered in this stipulation is not relevant to the sanctions the Department may seek, or that will be assessed, upon issuance of a formal complaint.

Signature	of	Respond	ent:
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Date:

DESCRIPTION

PAYMENT RECORD - FOR IES USE ONLY

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T04 – Settlement Agreement Revised 11/15/05

DATE	CITATION	DESCRIPTION
On or about 4/14/2008	9 CFR § 88.4(c)	Requirements for the respondent ship slaughter in Chihual equines in commercial

9 CFR § 88.4(c)

On or about

4/21/2010

transport. pped 147 equines from Los Lunas, New Mexico destined for thua, Mexico; however, the respondent failed to handle the cial transportation as expeditiously and carefully as possible so as to avoid causing unnecessary discomfort, stress, physical harm or trauma, in that one horse (USFH 1582) was unfit to travel as it foaled during the trip. Requirements for transport. The respondent shipped 186 equines from Los Lunas, New Mexico destined for slaughter in Chihuahua, Mexico; however, the respondent failed to handle the equines in commercial transportation as expeditiously and carefully as possible so as to avoid causing unnecessary discomfort, stress, physical harm or trauma, in that one horse was unfit to travel as it foaled during the trip. "Handling or all equines in commercial transportation to a slaughtering facility shall be done as expeditiously and carefully as possible in a manner that does not cause unnecessary discomfort, stress, physical harm, or trauma."

Smootz, Ember M (APHIS)

From:

Smootz, Ember M (APHIS)

Sent:

Monday, June 20, 2011 9:24 AM

To:

Nickerson, Eric S (APHIS); Sciglibaglio, Paul (APHIS); Astling, Joseph T (APHIS); Kelpis,

Elizabeth D (APHIS) (b) (6), (b) (7) (C) (APHIS); Fordahl, Timothy R (APHIS)

Subject:

NM10031-VS

Attachments:

NM10031-VS Chavez sag.doc; NM10031-VS Dennis V. Chavez, Inc. Sag Ltr.docx;

NM10031-VS Arballo 7060.doc; NM10031-VS Arballo Cover Ltr.doc

Dennis V. Chavez has been sent a Stip for \$3,750. Also, Joseph Arballo has been sent an Official Warning. Attached are copies of the Settlement Agreement, APHIS 7060, and Cover Letters. If you have any questions, please contact Sarah King, 301-734-0012.

Thank You, Ember Smootz Compliance Assistant USDA/APHIS/MRPBS/IES 301-734-0863

Ember.smootz@aphis.usda.gov



JUN 2 0 2011

United States Department of Agriculture

Animal and Plant Health Inspection Service

Marketing & Regulatory **Programs Business** Services

4700 River Road Riverdale, MD 20737

Joseph Arballo d/b/a J & J Trucking 7 Taska Road Peralta, NM 87042

Dear Mr. Arballo:

The Animal and Plant regulations to protect livestock. APHIS has Transportation of Equ regulations issued their

The CTESA authorize This is to notify you th may impose in connec provided that you com in the future. If you as may, if warranted, seel in abeyance in addition violations.

If you have any questic (301) 734-8684.

Sincerely,

(b) (6), (b) (7) (C)

Sarah L. Conant Chief, Animal Health and Welfare Enforcement Investigative and Enforcement Services

Enclosure

cc:

P. Sciglibaglio, AVIC

J. Astling, Equine Transportation Program

T. Fordahl, Regional Director, IES

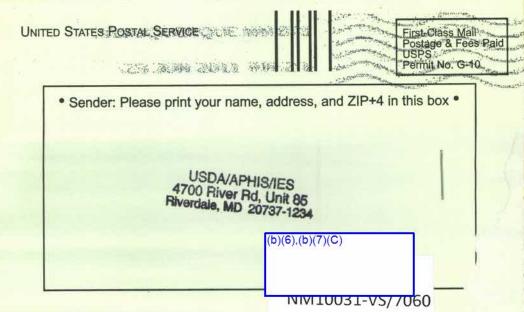
E. Nickerson, Deputy Regional Director,

E. Kelpis, IES Area Manager

(b) (6), (b) (7) (C)

(b)(6),(b)(7)(C)APHIS: IE

CERTIFIED MAIL RETURN RECEIPT





:6/16/11:I:DCS 7060s NM10031-VS Aballo Cover ltr T02 APHIS 7060 Cover Letter, Rev 6 (4-10)



Safeguarding American Agriculture APHIS is an agency of USDA's Marketing and Regulatory Program

An Equal Opportunity Provider and Employer



CASE NO.

NM10031-VS

VIOLATOR

Joseph Arballo d/b/a J & J Trucking

ADDRESS (Street, City, State, Zip Code)

7 Taska Road Peralta, NM 87042

The Department of Agriculture has evidence that on or about 4/8/10, 4/14/10 & 4/21/10, you or your organization committed the following violation of Federal Regulations:

On or about April 8, 2010

9 C.F.R. § 88.4(c) Requirements for transport.

J & J Trucking transported 159 equines from Los Lunas, New Mexico destined for slaughter in Chihuahua, Mexico; however, J & J Trucking failed to handle the equines in commercial transportation as expeditiously and carefully as possible so as to avoid causing unnecessary discomfort, stress, physical harm or trauma, in that one horse (USFH 1576) was unfit to travel as it foaled during the trip.

On or about April 14, 2010

9 C.F.R. § 88.4(c) Requirements for transport.

J & J Trucking transported 147 equines from Los Lunas, New Mexico destined for slaughter in Chihuahua, Mexico; however, J & J Trucking failed to handle the equines in commercial transportation as expeditiously and carefully possible so as to avoid causing unnecessary discomfort, stress, physical harm or trauma, in that one horse (USFH 1582) was unfit to travel as it foaled during the trip.

On or about April 21, 2010

9 C.F.R. § 88.4(c) Requirements for transport.

J & J Trucking transported 186 equines from Los Lunas, New Mexico destined for slaughter in Chihuahua, Mexico; however, J & J Trucking failed to handle the equines in commercial transportation as expeditiously and carefully as possible so as to avoid causing unnecessary discomfort, stress, physical harm or trauma, in that one horse was unfit to travel as it foaled during the trip.

"Handling or all equines in commercial transportation to a slaughtering facility shall be done as expeditiously and carefully as possible in a manner that does not cause unnecessary discomfort, stress, physical harm, or trauma."

Titles 7 & 9 Code of Federal Regulations were promulgated to help prevent the spread of animal and plant pests and diseases and assure the humane treatment of animals. Since the violations of the regulations can have serious and costly impact detrimental to the public interest, you are warned of this violation. Any further violation of these regulations may result in the assessment of a civil penalty or criminal prosecution. If you have any questions concerning this warning or violation, please contact the listed APHIS official.

APHIS OFFICIAL (Name, Title)		OFFICE ADDRESS:		
Sarah L. Conant		4700 River Road, Unit 85		
Chief, Animal Health and	Welfare Enforcement Branch	Riverdale, MD 20737		
Investigative and Enforcen	nent Services	The second secon		
GIGNATURE CONTRACTOR	DATE ISSUED	TELEPHONE NO.		
(b) (6), (b) (7) (C)	6/16/2011	(301) 734-8684		
FOR PERSONAL SERVICE - RECE	IVED BY: (Name and signature)	DATE RECEIVED:		

FOR CERTIFIED MAIL - RECEIPT NO:

APHIS FORM 7060

Previous editions may be used

Rev. 07/06/1999



JUN 2 0 2011

CERTIFIED MAIL RETURN RECEIPT

United States Department of Agriculture

Animal and Plant Health Inspection Service

Marketing & Regulatory Programs Business Services

4700 River Road Riverdale, MD 20737 Dennis V. Chavez 24 NW 102nd Ave., Suite1 Doral, FL 33172-2225

Dear Mr. Chavez:

Animal and Plant Health Inspection Service (APHIS) enforces regulations to protect the health and care of animals, plants, and agricultural industry. Violations of these regulations jeopardize the animal and plant health systems that are vital to protect American agriculture.

Our investigation shows that you have violated the United States Code of Federal Regulations as described on the enclosed Stipulation. APHIS laws and regulations provide for administrative and criminal penalties to enforce these regulatory requirements, including civil penalties of up to \$5,000 for each of the violations documented in our investigation.

The Secretary of Agriculture may, after providing notice and opportunity for a hearing, impose civil penalties or other sanctions. You may, however, waive your right to a hearing and settle this matter by paying \$3,750 by July 25, 2011, and signing the enclosed Stipulation.

Please pay the \$3,750 penalty by certified check or money order made payable to the Treasurer of the United States or go to www.pay.gov to pay by credit card. Write the Case Number NM10031-VS on your check or money order and mail it with the signed Stipulation to:

USDA, APHIS, (General) (NM10031-VS) P. O. Box 979043 St. Louis, MO 63197-9000

If we do not receive your signed stipulation agreement and payment or notice that you wish to exercise your right to a hearing by July 25, 2011, this matter will be forwarded to the Department's Office of the General Counsel (OGC) for litigation. Please know that the penalty offered in this Stipulation represents a fraction of the civil penalty APHIS may seek should we refer this matter to OGC. You may contact our office at telephone number (301) 734-8684 if you have any questions.

Sincarely
(b)(6),(b)(7)(C)
Sarah L. Conant
Chief, Animal Health and Welfare Enforcement Investigative and Enforcement Services
Enclosure
cc: P. Sciglibaglio, AVIC, NM E. Nickerson, IES, WR J. Astling, ETP, NM E. Kelpis, IES. WR
APHIS:IES:SKing:ldh:734-0012:6/16/11:I:DCS:NM10031-VS I
Safeguarding American Agriculture APHIS is an agency of USDA's Marketing and Regulatory Program
An Equal Opportunity Provider and Employer

7506		ervice TM REC MAILTM REC	
75	For delivery informa	ition visit our website	at www.usps.com _®
2	OFF	ICIAL	USE
388	Postage Certified Fee	JUN 2 0 2011	
E000	Return Receipt Fee (Endorsement Required)		Postmark Here
1830	Restricted Delivery Fee (Endorsement Required) Total Postage & Fees	\$	
7008]	Sent To Street, Apt. No.; or PO Box No.	Dennis V. (NM10031-	**************
	City, State, ZIP+4 PS Form 3800. August 2		See Reverse for Instructions

Investigative and Enforcement Services

USDA, APHIS, IES 4700 River Road, Unit 85

Settlement Agreement

Riverdale, MD 20737 Phone: (301) 734-8684 Fax: (301) 734-4328

RESPOND	ENT	:
---------	-----	---

Dennis V. Chavez. 24 Dalies Road Los Lunas, NM 87031

CASE NUMBER NM10031-VS DUE ON OR BEFORE CONTACT PHONE

July 25, 2011 (b)(6),(b)(7)(C)

DATE

CITATION

DESCRIPTION

On or about 4/8/2010	9 CFR § 88.4(c)	Requirements for transport. The respondent shipped 159 equines from Los Lunas, New Mexico destined for slaughter in Chihuahua, Mexico; however, the respondent failed to handle the equines in commercial transportation as expeditiously and carefully as possible so as to avoid causing unnecessary discomfort, stress, physical harm or trauma, in that one horse (USFH 1576) was unfit to travel as it foaled during the trip.
		(Continued on page 2)

PENALTY \$3,750

TERMS

Titles 7, 15, 19, and 21 of the United States Code authorize the Secretary of Agriculture, after providing notice and opportunity for a hearing, to impose civil penalties and other sanctions to resolve violations.

Prior to the issuance of a formal complaint seeking sanctions under the Act, The Department may enter into a stipulation to resolve the above-described violations. Your payment constitutes a waiver of your right to a hearing, a finding that the violations of law have occurred, and settlement of such violations.

If you do not pay the specified penalty within the designated time or wish to exercise your right to a hearing, this matter will be forwarded to the Office of the General Counsel for litigation. The penalty offered in this stipulation is not relevant to the sanctions the Department may seek, or that will be assessed, upon issuance of a formal complaint.

Signature of Respondent:

Date:

PAYMENT RECORD - FOR IES USE ONLY

Doument T		The state of the s	37. 120 002 0.121	
Payment Type	Date	Amount	Signature of IES Representative	

T04 - Settlement Agreement Revised 11/15/05

DATE	CITATION	DESCRIPTION
On or about 4/14/2008	9 CFR § 88.4(c)	Requirements for transport. The respondent shipped 147 equines from Los Lunas, New Mexico destined for slaughter in Chihuahua, Mexico; however, the respondent failed to handle the equines in commercial transportation as expeditiously and carefully as possible so as to avoid causing unnecessary discomfort, stress, physical harm or trauma, in that one horse (USFH 1582) was unfit to travel as it foaled during the trip.
On or about 4/21/2010	9 CFR § 88.4(c)	Requirements for transport. The respondent shipped 186 equines from Los Lunas, New Mexico destined for slaughter in Chihuahua, Mexico; however, the respondent failed to handle the equines in commercial transportation as expeditiously and carefully as possible so as to avoid causing unnecessary discomfort, stress, physical harm or trauma, in that one horse was unfit to travel as it foaled during the trip. "Handling or all equines in commercial transportation to a slaughtering facility shall be done as expeditiously and carefully as possible in a manner that does not cause unnecessary discomfort, stress, physical harm, or trauma."
	9	
	**	

King, Sarah A (APHIS)

From:

Sciglibaglio, Paul (APHIS)

Sent:

Monday, June 13, 2011 9:23 AM

To:

King, Sarah A (APHIS)

Cc:

Astling, Joseph T (APHIS)

Subject:

Re: NM10031-VS

Attachments:

NM10031-VS Chavez PW.doc; NM10031-VS Arballo 7060.doc; NM10031-VS Chavez

sag.doc

Ms. King:

I concur.

Paul Sciglibaglio, D.V.M. Area Veterinarian in Charge New Mexico & Arizona USDA - APHIS - Veterinary Services 505.761.3160

Sarah King---06/07/2011 02:48:15 PM---Hi Dr. Sciglibaglio, I've completed my review of NM10031-VS, which documents that Dennis Chavez ship

From: Sarah King/MD/APHIS/USDA@MSOCOEX

To: Paul Sciglibaglio/NM/APHIS/USDA Cc: Joseph T Astling/TX/APHIS/USDA

Date: 06/07/2011 02:48 PM Subject: NM10031-VS

Hi Dr. Sciglibaglio,

I've completed my review of NM10031-VS, which documents that Dennis Chavez shipped three loads of horses from New Mexico destined for slaughter in Mexico with a pregnant mare in each shipment that foaled during the trip. I recommend the attached stipulation in the amount \$3,750 for Dennis Chavez as has been involved in a prior case with alleged violations of the SHTP regulations resulting in a \$2,700 civil penalty. However, I recommend an official warning for the trucking company, J&J Trucking, as we have no prior cases involving this company. Please let me know if you concur with the recommended enforcement actions, or whether you have any additional mitigating or aggravating factors that I have not considered.

Thanks,
Sarah King
Compliance Investigation Specialist
Animal Health and Welfare Enforcement Branch

USDA APHIS MRPBS IES (301) 734-0012

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A CED DART	STIPULATION	OGC	Penalty Totals
		(b) (5)	
		A-7A-7	

	(b) (5)		
Chavez		TOTALS=\$3,750	

T27 Equine to Slaughter Penalty Worksheet

Rev 1

4/1/06



Re: Request for business information . (VS)

Ashley E Smith to: Sarah King

05/17/2011 03:22 PM

History

This message has been replied to.

Sarah,

Here is the information you requested.

There was only one J&J Trucking in New Mexico and it was not listed at the address you provided or associated with Mr. Arballo.

I did find Mr. Arballo at the address you provided below however.

Also, there was not a report on the SOS website for Dennis Chavez LLC.







j&j trucking ra.docx southwest livestock auction ra.docx j&j trucking.pdf jose arballo.pdf

dennis chavez llc.pdf southwest livestock auction.pdf

Ashley Smith Investigative Analyst Intelligence Analysis Unit USDA Investigative and Enforcement Services Riverdale, Maryland (301) 851-2825

Sarah King

Hi Ashley, Can you verify the following addresse...

05/17/2011 11:32:06 AM

From:

Sarah King/MD/APHIS/USDA

To:

Ashley E Smith/MD/APHIS/USDA@USDA

Date:

05/17/2011 11:32 AM

Subject:

Request for business information. (VS)

Hi Ashley,

Can you verify the following addresses for me?

Jose Arballo d/b/a J&J Trucking: 1919 Labera Drive NW Apt. 2112, Albuquerque, NM 87120 Dennis Chavez d/b/a Dennis Chavez L.L.C. and Southwest Livestock Auction: 24 Dalies Road, Lon Lunas, NM.

Please include registered agents, if available.

Thanks, Sarah King (301) 734-0012

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Person Report FOR INFORMATIONAL PURPOSES ONLY (b)(6),(b)(7)(C)

UNITED STATES DEPARTMENT OF AGRICULTURE

ANIMAL AND PLANT HEALTH INSPECTION SERVICE INVESTIGATIVE AND ENFORCEMENT SERVICES

REPORT OF INVESTIGATION

Case Number:	NM10031-VS	
	Dennis Chavez 24 Dalies Road Los Lunes, NM 87031 (505) 362-7117	Jose Arballo dba J&J Trucking 1919 Labera Drive NW APT 2112 Alubuquerque, NM 87120 (505) 269-1254
Investigator:	(b) (6), (b) (7) (C)	

(b)(6),(b)(7)(C) (Contact Information) 2150 Centre Ave., Bldg B-3W10 Fort Collins, CO 80532 (303) 518-0170

Date of Report:	01/24/2011
\boxtimes	Substantiated allegation(s)
	No violation(s)
	Insufficient evidence
	Fact finding
	Contains Confidential Business Information

FOR OFFICIAL USE ONLY This document and its contents are not to be distributed outside your agency, or duplicated, without prior consent from USDA APHIS Investigative and Enforcement Services.

Reviewer(s)	

Date: 01/24/2011

SYNOPSIS

Dennis Chavez (CHAVEZ) transported late term pregnancy mares to slaughter in Mexico on 04/09/10, 04/15/10, and 04/22/10. The mares foaled in holding pens at the Union Ganadera Stockyards, Santa Teresa, NM.

Date: 01/24/2011

BACKGROUND

Subject(s) Information

CHAVEZ is the owner of Southwest Livestock Auction, Los Lunas, NM.

ARBALLO is the owner of J&J Trucking, Albuquerque, NM.

Subject(s) Previous History

Prior Enforcement Actions

CHAVEZ was given a stipulation penalty in the amount of \$2700.00 on May 20, 2010 for horses that were injured and/or died while being transported to slaughter, case number TX06045-VS.

Prior Adjudications

N/A

Date: 01/24/2011

NARRATIVE

Basis for Investigation

This investigation was requested by Dr. Paul Sciglibaglio, Veterinary Services (VS), Area Veterinarian In Charge (AVIC), NM, after it was discovered CHAVEZ transported late term pregnancy mares to slaughter that foaled in the holding pens at the Santa Teresa port (Exhibit 1).

Investigative Findings

On April 09, 2010 CHAVEZ exported 159 horses to Mexico for slaughter. The horses were accompanied by International Health Certificate number 10-NM-014, dated 04/09/10 (Exhibit 2) and VS Form 10-13, dated 04/08/10 (Exhibit 3). While conducting an inspection of CHAVEZ's horses at the Union Ganadera Stockyards, Santa Teresa, NM, USDA, VS, Veterinary Medical Officer (VMO), Dr. Walter Howe discovered a Sorrel mare, USDA back tag number USER 1576, had a dead foal hanging from her vulva area (Exhibit 4). The mare, dead foal, and back tag were photographed by civilian employee Daniel Manzanares (Exhibit 5).

On April 15, 2010 CHAVEZ exported 160 horses to Mexico for slaughter. The horses were accompanied by International Health Certificate number 10-NM-015, dated 04/15/10 (Exhibit 6) and VS Form 10-13, dated 04/14/10 (Exhibit 7). While conducting an inspection of CHAVEZ's horses at the Union Ganadera Stockyards, Santa Teresa, NM, USDA, VS, VMO, Dr. Walter Howe discovered a bay mare, USDA back tag number USER 1582, had a dead foal hanging from her vulva area (Exhibit 8). The mare, dead foal, and back tag were photographed by Santa Teresa port civilian employee

On April 22, 2010 CHAVEZ exported 186 horses to Mexico for slaughter. The horses were accompanied by International Health Certificate number 10-NM-017, dated 04/22/10 (Exhibit 10) and VS Form 10-13, dated 04/21/10 (Exhibit 11). While conducting an inspection of CHAVEZ's horses at the Union Ganadera Stockyards, Santa Teresa, NM, USDA, VS, VMO, Dr. Walter Howe discovered a paint mare with no back tag had a live new born foal standing by her side (Exhibit 12). The mare and her foal were photographed by Santa Teresa port civilian employee Daniel Manzanares (Exhibit 13).

On November 17, 2010 IES Investigator conducted an interview with CHAVEZ and obtained an affidavit (Exhibit 14). During the interview CHAVEZ stated he reviewed VS Forms 10-13 numbers 10-NM-014, dated 04/08/09; 10-NM-015, dated 04/14/09; and 10-NM-017, dated 04/21/09. According to CHAVEZ he remembered the above mentioned shipments of horses and signed the VS Forms 10-13 but did not notice any bred mares in these shipments. Investigator showed CHAVEZ the photographs of the two dead and one live foal and their mothers and CHAVEZ stated that these mares did not look heavily bred. CHAVEZ stated that when mares are malnourished and thin it is hard to tell if they are bred or not. CHAVEZ said the mares were sold at his auction but he does not get paid for them until after they are slaughtered. CHAVEZ stated he arranged and paid for the trucking by J&J Trucking located in Albuquerque, NM. CHAVEZ stated he would provide the payment records from the slaughter plant in Mexico and the payment records for the trucking to Investigator but failed to do so.

On January 10, 2011 IES Investigator conducted a telephone interview with the owner of J&J Trucking, Jose Arballo (ARBALLO), and prepared an interview log (Exhibit 15). During the interview it was learned ARBALLO hauled slaughter horses to the Santa Teresa port for CHAVEZ in April, 2009. ARBALLO stated he was present when the horses were loaded on the trailers but did not remember seeing any mares that looked like they might foal during the trip. ARBALLO said he was aware that three of the mares that he transported foaled in the holding pens at the Santa Teresa port. ARBALLO admitted he was familiar with the USDA's regulations regarding the transportation of equines to slaughter. ARBALLO agreed to meet with Investigator on 01/13/11 and give a statement but would not return any further phone calls from Investigator

Investigator provided a statement regarding his contacts with CHAVEZ and ARBALLO (Exhibit 16).

On March 10, 2011 I conducted a telephone interview with Daniel Manzanares and completed an interview log (Exhibit 17). During the interview it was learned Mr. Manzanares has been employed with the Chihuahua Cattlemen's Association as the Director of the Santa Teresa Import/Export Livestock Crossing since November 2007. According to Mr. Manzanares, Veterinary Services, Veterinary Medical Officer, Dr. Walter Howe, asked him to photograph mares that foaled in the holding pens at the Santa Teresa Port on 04/09/10, 04/15/10, and 04/22/10. Mr. Manzanares stated he took the photographs with his Blackberry, uploaded them to his computer, and gave Dr. Howe a copy. Mr. Manzanares stated the horses were owned by Dennis Chavez and were awaiting inspection from Mexican Secretaria de Agricultura, Livestock, Rural Development, and Fish (SAGARPA) veterinarians. According to Mr. Manzanares the horses are penned separately by owner and/or lot, while waiting to be inspected by SAGARPA veterinarians. If the horses are rejected for import they are not allowed into Mexico and the owners/consignors transport the horses out of the import/export center.

These findings substantiate the following alleged violation(s):

9 CFR 88.4 (a) (3) (vii) Requirements for transport.

On 04/09/10, 04/15/10, and 04/22/10 CHAVEZ and ARBALLO transported late term pregnancy mares to slaughter that foaled while in the hold pens at the Santa Teresa port. This regulation specifies a fitness of travel statement, which includes the mares are not likely to foal during the trip, shall be completed at the time the horses are loaded on conveyance.

9 CFR 88.4 (a) (3) (vi) Requirements for transport.

On 04/09/10 and 04/15/10 CHAVEZ completed VS Forms 10-13 numbers 10-NM-014 and 10-NM-015 and listed USDA back tag prefix USFH. However, photographs taken of the mares that foaled show they were tagged with USDA back tags with a prefix of USER. This regulation specifies the VS Form 10-13 shall include the back tag applied to each horse.

These findings indicate that the evidence does not support a violation of the following section(s):

Date: 01/24/2011

MITIGATING AND/OR AGGRAVATING FACTORS

ADDITIONAL INFORMATION



Date: 01/24/2011

Pro	<u>WITNESS LIS</u> vide First, MI and Last Name w	
Name	Title	Address / Phone Number
(b) (6),(b) (7) (C)	Investigator	2150 Centre Ave., Bldg. B-W10 Fort Collins. CO 80526
(b)(6),((7),(C)	Investigator	2150 Centre Ave., Bldg. B-W10 Fort Collins, CO 80526 (b) (6),(b) (7) (C)
Dr. Walter Howe	VS, VMO	6200 Jefferson Street NE Suite 117 Albuquerque, NM 87109 (505) 761-3160

Date: 01/24/2011

CASE REPORT DISTRIBUTION (cc)

Program Official(s)

Dr. Paul Sciglibaglio USDA-APHIS-VS-AVIC 6200 Jefferson Street NE, Suite 117 Albuquerque, NM 87109 (505) 761-3160

IES Manager(s)

Elizabeth Kelpis USDA-APHIS-IES Area Manager 2150 Centre Ave., BLDG. B 3W10 Fort Collins, CO 80526 (303) 241-0932

CASE UPDATES

Program Official(s)

Dr. Paul Sciglibaglio USDA-APHIS-VS-AVIC, NM

Primary Investigator

(b)(6)(b)(6),(b)

USDA-APHIS-IES Investigator, CO

IES Area Manager

Elizabeth Kelpis USDA-APHIS-IES Area Manager, CO

IES Deputy Regional Director

Eric Nickerson USDA-APHIS-IES Western Regional Deputy Director, CO

IES Regional Director

Tim Fordhal USDA-APHIS-IES Western Regional Director, CO



Date: 01/24/2011

	EXHIBIT LIST		
Exhibit #	Description	Date	Total Pages
1	Request for Investigation	05/04/10	1
2	International Health Certificate Number 10-NM-014	04/08/10	6
3	VS Form 10-13 Number 10-NM-014	04/08/10	6
4	Statement, Dr. Walter Howe	04/09/10	1
5	Photographs of Mare With Dead Foal	04/09/10	5
6	International Health Certificate Number 10-NM-015	04/15/10	6
7	VS Form 10-13 Number 10-NM-015	04/14/10	6
8	Statement, Dr. Walter Howe	04/15/10	1
9	Photographs of Mare With Dead Foal	04/15/10	4
10	International Health Certificate Number 10-NM-017	04/22/10	6
11	VS Form 10-NM-017	04/21/10	7
12	Statement, Dr. Walter Howe	04/22/10	1
13	Photographs of Mare With Live Foal	04/21/10	3
14	APHIS Form 7162, Dennis Chavez	11/17/10	2
15	Interview Log, Jose Arballo	01/10/11	1
16	Statement, (b)(6),(b)(7)(C)	01/25/11	1
7	Interview Log, Daniel Manzanares	03/10/11	1

May 4, 2010



United States Department of Agriculture

Animal and Plant Health Inspection Service

Veterinary Services

6200 Jefferson St NE Suite 117 Albuquerque, NM 87109

Phone: 505-761-3160 Fax: 505-761-3176 Elizabeth Kelpis, IES Area Manager USDA, APHIS, IES 10,278 West Arkansas Ave. Lakewood, CO 80232

Dear Ms. Kelpis:

To summarize our telephone conversation, I am forwarding the documentation regarding three pregnant mares for export to Mexico for slaughter via the Santa Teresa Port. Please assign them to an IES investigator as discussed.

Sincerely,

(b)(6),(b)(7)(C)

Paul Sciglibaglio, D.V.M. Area Veterinarian in Charge Albuquerque, New Mexico

Enclosures

D.O.M.

Page of MAR 95)



Safeguarding American Agriculture
APHIS is an agency of USDA's Marketing and Regulatory Programs

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Federal Relay Service (Voice/TTY/ASCII/Spanish) 1-800-877-8339





alth Certificate No. 10- NM- 014
(Valid only if the USDA Veterinary Scal
Appears over the Certificate Number)

INTERNATIONAL HEALTH CERTIFICATE FOR SLAUGHTER HORSES EXPORTED FROM THE UNITED STATES OF AMERICA TO MEXICO CERTIFICADO INTERNACIONAL ZOOSANITARIO PARA EXPORTAR CABALLOS PARA SACRIFICIO DE LOS ESTADOS UNIDOS A MEXICO

Note: Mexico will only accept this shipment if VS Form 10-13 and affidavit for residue are completed and presented at the border with this Health Certificate (HC). VS Form 10-13 must have HC number written in the right upper corner. Mexico will not accept sexually intact males and monorchid animals.

Nota: México aceptará este envío de caballos solamente si la forma VS FORM 10-13 y la declaración jurada están completadas y se presentan en la frontera con este Certificado Zoosanitario (CZ). El número de este CZ debe estar escrito en la parte superior derecha de la forma VS FORM 10-13. México no aceptará machos sin castrar ni monorchideos.

1. Name and Address of Exporter:

Dennis Chavez

Nombre y Dirección del Exportador: 24 Dalies Rd Los Lunas, NM 87031

2. Name and Address of Importer:

Bertha Ruiz Pacheco

Nombre y Dirección del Importador: Elisa Grlesen 7741. Col. Independencia 2 Juarez, Chihuaha MX

3. Identification of the animals to be exported / Identificación de los animales a ser exportados.

Microchip number / Número de microchip	Sex/Sexo	Approximate age/Edad aproximada	Microchip Number / Número de microchip	Sex / Sexo	Approximate age/ Edad aproximada
643869 559381	Gelding Mare	7 4	600410 586130	Mare Mare	7 4
583838 619773	Gelding Gelding	6	560857 657166	Mare Gelding	5 4
550526 646799	Mare Mare	8 4	585675 610974	Mare Mare	8
637696 578522	Gelding Gelding	5 8	566139 584180	Mare Mare	7 4
587260 610548	Gelding Mare	8	551283 549446	Mare Gelding	6 7
550475 610597	Gelding Gelding	7	560804 598499	Mare Mare	4 6
559731 635371	Mare Mare	5 4	610363 588178	Mare Mare	9
500490 557809	Mare Gelding	5 3	583517 614419	Mare Mare	6 4

Mexico, Slaughter horse HC



copyright Equine Welfare Alliance & Wild Horse Fre





Health Certificate No. 10-NM-014 (Valid only if the USDA Veterinary Seal Appears over the Certificate Number)

National Center for Import and Export

Microchip number / Número de microchip	2	Approximate age/Edad aproximada	Microchip Number / Número de microchip	Sex / Sexo	age,	oximate / Edad ximada
651037 585507	Mare Mare	7 6	613665	Gelding		
647192 583903	Mare Geldin	8 9 4	594630 599569	Mare Mare		5
610398 615973	Mare Mare	7 6	339488 516756	Mare	7	
637160 611218	Mare Mare	8 7	655827 645948	Gelding Mare	8	```
655859 4b13355	Mare 315 Mare	6 7	565210 ~~	Gelding	6	
646103 600791	Mare Gelding	5 8	600115 549188	Gelding Mare	7	
644572 588741	Gelding Gelding	7 8	368943 635068 608498	Gelding Mare	7 5	
646603 554518	Gelding Mare	6	329454 551927	Gelding	7	
587362 593745	Mare Gelding	7	646194 610607	Gelding Gelding	6 8	
599993 611916	Mare Mare	4 8	561081 471519	Mare Mare	7 9	
664407 586085	Mare Mare	6 5	598493 642828	Mare Gelding	7	
645343 656614	Mare Mare	7 5	588201 610313	Mare Mare	7	
612919 651514	Mare Mare	8 4	666015 585535	Gelding Mare Gelding	5	
647216 566448	Mare Gelding	4 7	666581 635594	Gelding	6	
592840 612414	Gelding Mare	8 5	611872 558323	Mare Mare	5	
588515 566611	Mare Mare	7 5	587692 549670	Gelding Gelding	8	
558314 637727	Mare Mare	8 7	565264 650012	Mare Mare	5 5	
649470 560061	Gelding Mare	4 6	661324	Gelding Gelding	7 5	
592264 611093	Mare Mare	8 7	611096 560540 614212	Mare Gelding	7 9	GOVERI
566367 561213	Mare Mare	5 7	579790 594394	Mare Mare Gelding	7 5 6	Page Z

VERNMENT EXHIBIT

Page 2 of 6 APHIS FORM 7070 (MAR 95)





Health Certificate No. 10-NM-014 (Valid only if the USDA Veterinary Seal Appears over the Certificate Number)

	Microchip number / Número de	Sex/Sexo	Approximate age/Edad aproximada	Microchip Number / Número de	Sex / Sexo	Approximate age/ Edad
Ÿ	microchip			microchip		aproximada
	560582 588575	Mare	6	645763	Mare	7
		Gelding	8	592389	Gelding	6
	646795 550733	Mare Mare	5 4	560448	Mare	8
	649081	Gelding		561319	Mare	5 .
	646734	Mare	7 6	613065 611575	Gelding	4
	561779	Mare	9		Mare	7
	647838	Gelding	4	655850 592150	Gelding	4
	592859	Mare	4		Mare	6
	649345	Mare	8	649781 ~ 647220	Gelding Mare	9
	592487	Mare	7			7
	547728	Mare	5	643066 647082	Gelding Mare	6
	648972	Gelding	4	644440		9
	594328	Gelding	5	611518	Mare Mare	5
	650680	Mare	6	593172	Mare	4
	592449	Mare	7	561588	Gelding	7 8
	593781	Mare	8	593587		
L	611780	Mare	5	594819	Gelding Mare	5 7
	560847	Gelding	5	650575	Gelding	
L	593607	Mare	5	649590	Mare	7
	593495	Gelding	5	647858	Mare	6
_	560279	Mare	7	646394	Gelding	3
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Health Certificate No. 10 - NM - 014
(Valid only if the USDA Veterinary Seal
Appears over the Certificate Number)

Microchip number / Número de microchip	Sex/Sexo	Approximate age/Edad aproximada	Microchip Number / Número de microchip	Sex / Sexo	Approximate age/ Edad aproximada
-					
-					

CERTIFICATION STATEMENTS / CERTIFICACIONES

- 1. Horses originate from the United States.

 Los animales son originarios de Estados Unidos.
- 2. Within 30 days prior to exportation, the animals were inspected by an accredited veterinarian who did not find clinical signs of contagious or infectious diseases.

A la inspección efectuada por un veterinario oficial dentro de los 30 días previos a la exportación, los animales no presentaron signos de enfermedades infectocontagiosas.

Inspection date / Fecha de inspección April 8th 2010

7 Dulou ta'ahlumant tha sahialas mad ta

3. Prior to shipment the vehicles used to transport the animals to the border were cleaned and disinfected.

Los vehículos utilizados para el transporte de los animales a la frontera fueron sometidos a limpieza y desinfección antes del embarque.

4. During 90 days prior to exportation, the animals have not been on premises where contagious equine metritis was diagnosed, neither have they been in contact with infected animals, nor epidemiologically related to infected premises or animals.

Durante los 90 días previos a la exportación, los animales no han estado en explotaciones afectadas por la metritis equina contagiosa, ni han estado en contacto con animales afectados ni relacionados epidemiológicamente con instalaciones o animales infectados.







Health Certificate No. 10 - NM - 014 (Valid only if the USDA Veterinary Seal Appears over the Certificate Number)

(Delete as appropriate / Remueva lo que no aplique)

5. [The animals are free of ectoparasite and originated from areas not under quarantine for Boophilus pp ticks.][.

[Los animales están libres de ectoparásitos y provienen de áreas no cuarentenadas por garrapatas Boophilus spp.][

C.Y Brasmer

Name of Accredited Veterinarian Nombre del Médico Veterinario Acreditado (b) (6), (b) (7) (C)

Name of Endorsing rederat vetermanan Nombre del Médico Veterinario Federal que endosa.

Signature of Acc (b)(6),(b) 4/8/2010 terinarian and Date Firma del Médico Veterinario Acreditado y Fecha

(b) (6), (b) (7) (C)

19/2010

Signature of Endorsing Federal Veterinarian and Date Firma del Médico Veterinario que endosa

(Valid only if the USDA Veterinary Seal appears over the signature of the Endorsing Federal Veterinarian.) (Válido Solamente si el sello veterinario del USDA está sobre la firma del Médico Veterinario Federal).

y Fecha







Health Certificate No. 10-NN-014

(Valid only if the USDA Veterinary Seal
Appears over the Certificate Number)

AFFIDAVIT DECLARACIÓN JURADA

Ţ,	Dennis Chavez declare that, to my dest knowledge horses
	The state of the second accompanied by the health certificate #
	not been fed or treated within the last one hundred and eighty days (180) prior to shipment with the following
	compounds plants or drugs.
	Par este medio declaro que a mi saber y entender los caballos en este embarque, acompanados
	ma hay side all meritages of tratages con
	ninguno de los siguientes compuestos, plantas o medicamentos durante los ciento ochenta (180) días ante
	del embarque.
	1. Aristolochia spp and any other preparation derived of this plant, chloramphenical,
	chloroform, chlorpromazine, colchicine, dapsone, dimetridazole, metronidazole, introducano
	(included furezolidone) ronidazol
	Aristolochia spp y cualquier otra preparación derivada de esta planta, cloranfenicol,
	cloroformo, clorpromazina, colchicine, dapsona, demetridazole, metroritadzol, miliojarano
	(incuding furazolidona) y rodinazole.
	and and allocations allocations and
2.	The following compounds were not used as growth promoters: zilpaterol, clembuterol and
	raptopamine. All Animals are free of steriods.
	Los siguientes compuestos no se usaron como promotores del crecimiento: zilpaterol,
	clenbuteroly raptopamina As I como esteroids anabolicos.
-	- an in the state of the substances were not used; thioursell methylthioursell
3,	The following thyrosthatics substances were not used: thiouracil, methylthiouracil
	phenylthiouracil and propylthiouracil. Que no fueron empleados los siguientes tirostáticos: tiouracilo, metiluracilo, feniltiuracilo y
ž:	Que no jueron empieados tos siguientes tirosamentes
	propiltiuracilo.
	2
	(b) (6), (b) (7) (C)
ח	ate and signature of the exporter 4/8/2010
F	echa y firma del exportador
4	, , ,
	(b)(6),(b)(7)(C)
D	Pate and signature of the Notary P
F	echa y firma del Notario Publico
1	OFFICIAL SEAL
1	Joan Chavez
)	APPLICATION OF THE PROPERTY OF
)	NOTARY PUBLIC STATE OF NEW MEXICO



U.S. DEPARTMENT OF AGRICULARE ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OWNER/SHIPPER CERTIFICATE FITNESS TO TRAVEL TO A SLAUGHTER FACILITY

(Please type or print in ink)

According to the Paperwork Resident Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0579-0160. The time required to complete this information collection is estimated to average 5 min. per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

FORM APPROVED OMB NO. 0579-0160

PAGE 1 OF

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CHE		t mares a							Į.				ear wei		ll 4 limb	os.		
	/ Foals ar	e older tha	an 6 mo	nths of	age.				Ż	Hors	ses are i	not blind	in both	eyes.		1	Horses are able	to walk unassisted.
	TAG	Tag		COL	OR DE	SCRIPT	ION				EED/TY	_			SEX	1 2 60	BRANDS Tattoos, etc.	REMARKS Include existing conditions
_	PREFIX	NO.	Bay	Grey	Blk.	Pinto	Chestn	Other	ТВ	QT /	Draft	Pony	Other	Mare	Stal	Geld	7411000, 0101	- Choung
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9		09				/				/						/	587260	
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U.S. DEPARTMENT OF AGRIC ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OWNER/SHIPPER CERTIFICATE FITNESS TO TRAVEL TO A SLAUGHTER FACILITY (CONTINUATION SHEET)

(Please type or print in ink)

on Act of 1995, no persons According to the Paperwork R are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0579-0160. The time required to complete this information collection is estimated to average 5 min. per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. are required to respond to a collection of information unless it

FORM APPROVED OMB NO. 0579-0160

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			(Pleas	e type					_		EED/TY	DE INIONI			SEX		5044100	REMARKS
	TAG	Tag		COL	OR DE	SCRIPT	ION									Geld	BRANDS Tattoos, etc.	Include precondition
ľ	PREFIX	NO.	Bay	Grey	Blk.	Pinto	Chestn		TB	QT	Draft	Pony	Other	Mare	Stal	J		
	USFH	15110						cos	١	/						/	557809	
,	1	17		/						/				/			600410	
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9		19						SE		/				/			560357	
0		30				/				/						1	657166	
1		21		1						1				/			585675	
2		23				/				/				/			610974	
3		23			1					/				/			566139	
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32	11	40	+	/				-			/			1	/		339488	
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ue and correct to the bear SIGNATURE OF OWNER/SHIPPER GOVERNMENT EXHIBIT (b)(6),(b)(7)(C) **VS FORM 10-13A** Page _ of _ (SEP 2002) APHIS FORM 7070 (MAR 95) 2-APHIS-03102 Partial 4 Page 88

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U.S. DEPARTMENT OF AGRIC

ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OWNER/SHIPPER CERTIFICATE FITNESS TO TRAVEL TO A SLAUGHTER FACILITY (CONTINUATION SHEET)

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FORM APPROVED OMB NO. 0579-0160

0-NM-014

	TAG	Tag		COL	OR DE	SCRIPT	ION			BR	EED/TY	PE			SEX		BRANDS	REMARKS
	PREFIX	NO.	Bay	Grey	Blk.	Pinto	Chestn	Other	ТВ	QT	Draft	Pony	Other	Mare	Stal	Geld	Tattoos, etc.	Include precondition
16	USFH	1546						our		/				/			611218	
17		47						SR		/						/	645948	
18		48	/							/				/			655859	
19		49	1							1				/			565210	
20		50						S	١.	/				1			4813355	315
21		51		1						/						/	600115	
22		52						(00)	1	1				1			646103	
23		53				1				/				/			549188	
24		54			/					/						/	600791	
25		55				1			23	/						/	368943	127
26		56				/				/						/	644572	
27		57	1							/				1			635068	
28		58		1						1						1	588741	
29		59				/				/						/	408498	
30		60	1							/						/	646603	
31		(01				1		4		/				/			329454	
32		62						SR		/				/			554518	
33		63						SR.		/						1	551927	
34		104	/							1				1			587362	
35		65		1						/						1	646194	
36		اماعا						SR		/						2	593745	
37		67			1					/				1			610607	
38		68						SQ		/				/			599993	
39		69			/					/				/			561081	
40		70		1						/				1			611916	
11		71				/				/				1			471519	
12		72				/				/				/			LOLO4407	
13		73						SR		/				-		1	598493	
14		74	/							1				1			586085	
45	,	75					-	2000		/				/			642828	

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SIGNATURE OF OWNER/SHIPPER(I certify

(b) (6), (b) (7) (C)

d correct to the best of my knowledge.)

GOVERNMENT EXHIBIT

APHIS FORM 7070 (MAR 95)

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VS FORM 10-13A (SEP 2002)

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U.S. DEPARTMENT OF AGRIC
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OWNER/SHIPPER CERTIFICATE FITNESS TO TRAVEL TO A SLAUGHTER FACILITY (CONTINUATION SHEET)

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FORM APPROVED OMB NO. 0579-0160

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	TAG	Tag		COL	OR DE	SCRIPT	ION			BR	REED/TY	/PE			SEX		BRANDS	REMARKS Include
	PREFIX	NO.	Bay	Grey	Blk.	Pinto	Chestn	Other	ТВ	QT	Draft	Pony	Other	Mare	Stal	Geld	Tattoos, etc.	precondition
16	USFH	1576						Se		1				/			645343	
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25		85				1				/						/	666581	
26		86		/						/						1	566448	
27		87			/				11	/				1			635594	
28		88						OSP		1						1	592840	
29		89		/						/				/			611872	
30		90	1							/				/			612414	
31		91						SR		/						1	558323	
32		92		/						/				/			588515	
33		93						Se					ren			/	587692	
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36		96	/							/				/			558314	
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SIGNATURE OF OWNER/SHIPPER(I ce

(b)(6),(b)(7)(C)

GOVERNMENT EXHIBIT

Page

of

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VS FORM 10-13A (SEP 2002)

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U.S. DEPARTMENT OF AGRIC RE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OWNER/SHIPPER CERTIFICATE FITNESS TO TRAVEL TO A SLAUGHTER FACILITY (CONTINUATION SHEET)

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FORM APPROVED OMB NO. 0579-0160

10-MM-014

		_		COL		SCRIPT	ION	-		BR	EED/TY	PE.	154		SEX		BRANDS	REMARKS Include
	TAG PREFIX	Tag NO.	Bay	Grey	Blk.	Pinto	Chestn	Other	ТВ	QT	Draft	Pony	Other	Mare	Stal	Geld	Tattoos, etc.	precondition
6	ISFH	UaDi a						SR.		/				/			011093	
7	IN PL	07						se		1				/			614213	
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9		09				1				/				/			579790	
0		10				1				1				/			561213	
1		11	/							/						1	594394	
22		13						SR		1				/			560582	
23		1.3		1						1				/			045763	
24		14				/				1						1	588575	
25		15						52		/						1	59,2389	
26		16						52		/				1			646795	
27		17						au		1				/			5100448	
28		18						32		1				1			550733	
29		19						52		1				/			501319	
30		20						an	3	1						1	649081	
31		31	1							1						/	613065	
32		23						52		/				/			0410734	
33		23		/	1				1	/				/			611575	1
34		24		/	1				1	1				/			501779	
35		25				1 %		an		/						1	655350	
36		عاد	1							/						/	6417838	
37		27						au		1				/			592150	
38		38	1						×	/				/	1		592859	
39		29		1						/						/	649731	
40		30		1	e.					/				/			649345	
41		31						pal	C	/				. /			1047220	
42		32						32		/				/			592487	
43		33		/						/	1					/	643066	
44		34						50		/				/	1		547728	
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I HEREBY AUTHORIZE THE CFIA TO DISCLOSE THIS DOCUMENT AND THE INFORMATION IN IT AS COMPLETED BY THE CFIA TO THE USDA. FALSIFICATION OF THIS FORM OR KNOWINGLY USING A FALSIFIED FORM IS A CRIMINAL OFFENSE AND MAY RESULT IN A FINE OF NOT MORE THAN \$10,000 OR IMPRISONMENT FOR NOT MORE THAN 5 YEARS OR BOTH (18 U.S.C. SECTION 1001).

SIGNATURE OF OWNER/SHIPPER(I certify the

(b)(6),(b)(7)(C)

GOVERNMENT EXHIBIT

Page 5 of 6

PAGE 5 OF 6

VS FORM 10-13A (SEP 2002) 012-APHIS-03102 Partial 4 Page 91

copyright Equine Welfare Alliance & Wild Horse Freedom Federation

U.S. DEPARTMENT OF AGRICULARE ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OWNER/SHIPPER CERTIFICATE FITNESS TO TRAVEL TO A SLAUGHTER FACILITY (CONTINUATION SHEET)

(Please type or print in ink)

According to the Paperwork Reson Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0579-0160. The time required to complete this information collection is estimated to average 5 min. per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

FORM APPROVED OMB NO. 0579-0160

10-NN-014

			(Frease type or print in link)						collection of information.								0-NN-C	
	TAG	Tag					OR DESCRIPTION				EED/TY	PE.			SEX		BRANDS	REMARKS
	PREFIX	NO.	Bay	Grey	Blk.	Pinto	Chestn	Other	ТВ	QT	Draft	Pony	Other	Mare	Stal	Geld	Tattoos, etc.	Include precondition
16		1636						SQ		1						/	648972	
17		37						SR		/				1			644440	
18		38	1							/						1	594328	
19		39	6	1						/				1			611518	
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23		43				/				/						1	561588	
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41																		
42																	GOVERNMENT	
43																	EXHIBIT	
44																	Page 6 of 6	-
45																	APHIS FORM 7070 (MAR 95)	

I HEREBY AUTHORIZE THE CFIA TO DISCLOSE THIS DOCUMENT AND THE INFORMATION IN IT AS COMPLETED BY THE CFIA TO THE USDA. FALSIFICATION OF THIS FORM OR KNOWINGLY USING A FALSIFIED FORM IS A CRIMINAL OFFENSE AND MAY RESULT IN A FINE OF NOT MORE THAN \$10,000 OR

SIGNATURE OF OWNER/SHIPPER(I

correct to the best of my knowledge.)

(b)(6),(b)(7)(C)

PAGE 4 OF 4

VS FORM 10-13A (SEP 2002)



Animal and Plant Health Inspection Service

Veterinary Services

Date: 4-9-10

To: Dr. Sciglibaglio

From: Walter F. Howe

Subject: Report of Apparent Violation in the Movement of Slaughter Horses

Today as I was pen inspecting slaughter horses at the Union Ganadera Stockyards at Santa Teresa,NM, I observed a sorrel mare with the green back tag number 1576 with a dead foal hanging out of her vulva area. This mare was described on page 4 of the VS Form 10-13 that accompanied the International Health Certificate number 10-NM014. A copy of the 10-13 is attached as well as a copy of the International Health Certificate described above. The time that I found this mare with the partially delivered foal was 0700 on the date of this letter. I have emailed you the photographs that Daniel Manzanares took today and I asked him and he said that he would try and take the profile shots of the left and right side and a face shot. Especially important would be one with the back tag on it and he said he would do his best. As soon as I have those I'll forward them to you.

I'll remain vigilant and ready to respond to your direction.

(b)(6),(b)(7)(C)

Walter F. Howe DVM Santa Teresa Port Veterinarian







LOCATION: Union Ganadera Stockyards

DESCRIPTION OF PHOTOGRAPH: Sorrel mare with dead foal hanging from vulva area

DATE OF PHOTOGRAPH: 04/09/10

PRINTED NAME AND TITLE: Daniel Manzanares

SIGNATURE OF PHOTOGRAPHER: _____

DATE:



THIS IMAGE FAIRLY AND ACCURATE THE CONDITIONS ON THE DAY THE PHOTOGRAPH WAS TAKEN. CASE NO: NM10031-VS



LOCATION: Union Ganadera Stockyards

DESCRIPTION OF PHOTOGRAPH: Sorrel mare with dead foal hanging from vulva area

DATE OF PHOTOGRAPH: 04/09/10

PRINTED NAME AND TITLE: Daniel Manzanares

SIGNATURE OF PHOTOGRAPHER:

DATE:___



THIS IMAGE FAIRLY AND ACCURATED DEPICTS THE CONDITIONS ON THE DAY THE PHOTOGRAPH WAS TAKEN. CASE NO: NM10031-VS



LOCATION: Union Ganadera Stockyards

DESCRIPTION OF PHOTOGRAPH: Sorrel mare with back tag number USER 1576

DATE OF PHOTOGRAPH: 04/09/10

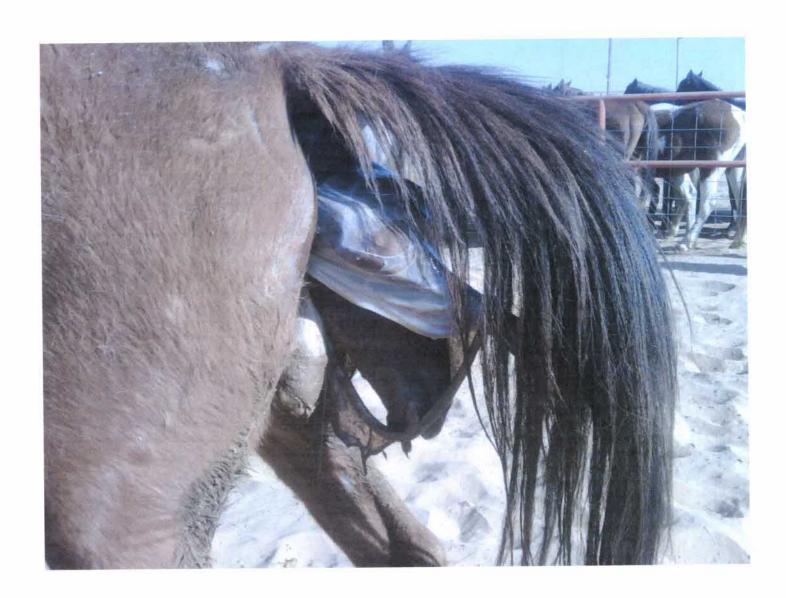
PRINTED NAME AND TITLE: Daniel Manzanares

SIGNATURE OF PHOTOGRAPHER:

DATE:



THIS IMAGE FAIRLY AND ACCURATE DEPICTS THE CONDITIONS ON THE DAY AE PHOTOGRAPH WAS TAKEN. CASE NO: NM10031-VS



LOCATION: Union Ganadera Stockyards

DESCRIPTION OF PHOTOGRAPH: Sorrel mare with dead foal hanging from vulva area

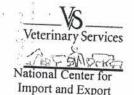
DATE OF PHOTOGRAPH: 04/09/10

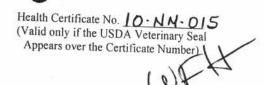
PRINTED NAME AND TITLE: Daniel Manzanares

SIGNATURE OF PHOTOGRAPHER: ______DATE:_____









INTERNATIONAL HEALTH CERTIFICATE FOR SLAUGHTER HORSES EXPORTED FROM THE UNITED STATES OF AMERICA TO MEXICO CERTIFICADO INTERNACIONAL ZOOSANITARIO PARA EXPORTAR CABALLOS PARA SACRIFICIO DE LOS ESTADOS UNIDOS A MEXICO

Note: Mexico will only accept this shipment if VS Form 10-13 and affidavit for residue are completed and presented at the border with this Health Certificate (HC). VS Form 10-13 must have HC number written in the right upper corner. Mexico will not accept sexually intact males and

Nota: México aceptará este envío de caballos solamente si la forma VS FORM 10-13 y la declaración jurada están completadas y se presentan en la frontera con este Certificado Zoosanitario (CZ). El número de este CZ debe estar escrito en la parte superior derecha de la forma VS FORM 10-13. México no aceptará machos sin castrar ni monorchídeos.

1. Name and Address of Exporter: Dennis Chavez Nombre y Dirección del Exportador:

24 Dalies Rd Los Lunas, NM 87031

2. Name and Address of Importer: Nombre y Dirección del Importador:

Bertha Ruiz Pacheco

Calle Elisa Griensen 7741

Col. Independencia 2, Cd. Juarez, Chih 3. Identification of the animals to be exported / Identificación de los animales a ser

Microchip number / Número de microchip	Sex/Sexo	Approximate age/Edad aproximada	Microchip Number / Número de microchip	Sex / Sexo	Approximat age/ Edad aproximada
643869 559381	Gelding Mare	7 4	600410 586130	Mare	7
583838 619773 550526	Gelding Gelding Mare	6 .	560857 	Mare Mare Gelding	4 5 4
646799 637696 578522	Mare Gelding Gelding	5	585675 610974 566139	Mare Mare Mare	8 4
587260 610548	Gelding	3	584180	Mare	4
550475	Mare Gelding	8	549446	Mare Gelding	6 7
610597 559731	Gelding Mare	7 5	560804 598499 610363	Mare Mare	4 6
535371 500490	Mare Mare	4	588178	Mare Mare	9 6
557809	Gelding	3	583517 614419	Mare Mare	6







Health Certificate No. 10-NM-015
(Valid only if the USDA Veterinary Seal
Appears over the Certificate Number)

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Microchi number / Número d microchip 651037	e	Approximate age/Edad aproximada	Microchip Number / Número de microchip		age/ Edad aproximada
585507	Mare	7	microenip		,,
647192	Mare	6	613665	Gelding	7
583903	Mare Geldi	8	594630	Mare	5
610398	Mare		599569	Mare	8 .
615973	Mare	7 6	339488	Mare	7
637160	Mare		516756	Gelding	6
611218	Mare	8 7	655827 645948	Mare	8
655859	Marė			Gelding	7
4b13355	315 Mare	6 7	565210 ~ 600115	The state of the s	6
646103	Mare			Gelding	7
600791	Gelding	5 8	549188 368943	Mare	. 6
644572	Gelding		635068	Gelding	7
588741	Gelding	8	608498	Mare	5
646603	Gelding	6	W/	Gelding	7
554518	Mare	6	329454 551927	Mare	7
587362	Mare	7		Gelding	6
593745	Gelding	7	646194 610607	Gelding	8
599993	Mare	4	561081	Mare	7
611916	Mare	8	471519	Mare Mare	9
664407	Mare	6	598493		7
586085	Mare	5	642828	Gelding Mare	6
645343	Mare	. 7	588201		7
656614 612919	Mare	5	610313	Mare Gelding	6
651514	Mare Mare	8	666015	Mare	7.
647216	1	4	585535	Gelding	5 6
566448	Mare	4	666581	Gelding	6
592840	Gelding	7	635594	Mare	6
512414	Gelding Mare	8	611872	Mare	5
88515	Mare	5	558323	Gelding	4
66611	Mare	7 5	587692	Gelding	. 8
58314	Mare	8	549670	Mare	5
37727	Mare	7	565264	Mare	5
49470	Gelding	4	650012	Gelding	7
60061	Mare	6	661324 611096	Gelding	5
92264	Mare	8	560540	Mare	7 GOVERNM
11093	Mare	7	614213	Gelding Mare	9 Ехніві
66367 Mare		5	579790		7 _ 6
61213	Mare	7	594394	Mare	5 Page Z of

594394

Gelding





National Center for Import and Export Health Certificate No. 10-N N-015 (Valid only if the USDA Veterinary Seal Appears over the Certificate Number)

WFD

Microchip number / Número de microchip 560582	Sex/Sexo	age/Edad aproximada	Number /	Sex / Sexo	Approximate age/ Edad aproximada
588575	Gelding	6 8	645763	Mare	7
646795 550733	Mare	5	592389 560448	Gelding	6
649081	Mare Gelding	4	561319	Mare Mare	8 5
561779	Mare	7	613065 611575	Gelding Mare	4
647838	Mare Gelding	9	655850	Gelding	7
592859 649345	Mare Mare	4	592150 649781 ~	Mare	6
592487	Mare	7	647220	Gelding Mare	9 7
547728 648972	Mare	5	643066 647082	Gelding Mare	6
594328	Gelding Gelding	5	644440 611518	Mare	5
650680 592449	Mare Mare	6 7	593172	Mare Mare	4
593781	Mare	8	561588	Gelding	7 8
611780 560847	Mare	5	593587 594819	Gelding Mare	5 7
593607 593495	Gelding Mare	5 5	650575 649590	Gelding	4
560279	Gelding Mare	5 7.	647858	Mare Mare	6
586854 666296	Mare Mare	. 5	646394 656258	Gelding Gelding	3
478764	Gelding	6	550278	Gelding	7
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Microchip number / Número de microchip	Sex/Sexo	Approximate age/Edad aproximada	Microchip Number / Número de microchip	Sex / Sexo	Approximate age/ Edad aproximada
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CERTIFICATION STATEMENTS / CERTIFICACIONES

1. Horses originate from the United States. Los animales son originarios de Estados Unidos.

2. Within 30 days prior to exportation, the animals were inspected by an accredited veterinarian who did not find clinical signs of contagious or infectious diseases.

A la inspección efectuada por un veterinario oficial dentro de los 30 días previos a la exportación, los animales no presentaron signos de enfermedades infectocontagiosas.

Inspection date / Fecha de inspección April 14th 2010

3. Prior to shipment the vehicles used to transport the animals to the border were cleaned and disinfected.

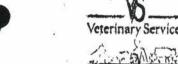
Los vehículos utilizados para el transporte de los animales a la frontera fueron sometidos a limpieza y desinfección antes del embarque.

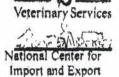
4. During 90 days prior to exportation, the animals have not been on premises where contagious equine metritis was diagnosed, neither have they been in contact with infected animals, nor epidemiologically related to infected premises or animals.

Durante los 90 días previos a la exportación, los animales no han estado en explotaciones afectadas por la metritis equina contagiosa, ni han estado en contacto con animales afectados ni relacionados epidemiológicamente con instalaciones o animales infectados.











(Delete as appropriate / Remueva lo que no aplique)

5. [Within 5 days prior to export, the animals were dipped in coumaphos at 400 ppm. Spraying of the product is only authorized using a motor pump jumaphos at 400 ppm.]

(NOM-019-ZOO-1994)]

4/10/2010

[Los animales fueron tratados dentro ae tos 5 días previos al embarque con un baño de inmersión con coumaphos a concentración de 400 ppm. Solamente se autoriza el haño de aspersión cuando se utilice bomba de motor y se aplique una dosis de 400 nom de coumaphos] (NOM-019-ZOO-1994)1 4/10/2010

(b)(7)

(b) (6), (b) (7) (C)

Name of Endorsing Federal Veterinarian Nombre del Médico Veterinario Federal que endosa.

C.Y Brasmer Name of Accredited Veterinarian Nombre del Médico Veterinario Acreditado

(b)(6),(b) 4/14/2010 (7)(C) Signature ed Veterinarian and Date Firma del Médico Veterinario Acreditado v Fecha

(b)(6),(b)(7)(C)

4/15/2010

and Date

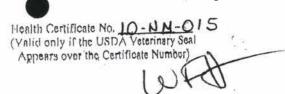
Firma del Médico Veterinario que endosa

(Valid only if the USDA Veterinary Seal appears over the signature of the Endorsing Federal Veterinarian.) (Válido Solamente si el sello veterinario del USDA está sobre la firma del Médico Veterinario Federal).









AFFIDAVIT DECLARACIÓN JURADA

declare that, to my best knowledge horses included in this shipment and accompanied by the health certificate # 10 - NM - 015 have not been fed or treated within the last one hundred and eighty days (180) prior to shipment with the follow compounds, plants or drugs.

Por este medio declaro que a mi saber y entender los caballos en este embarque, acompañados por el certificado sanitario número 10-NN-015 no han sido allmentados o tratados con ninguno de los siguientes compuestos, plantas o medicamentos durante los ciento ochenta (180) días antes del embarque.

1. Aristolochia spp and any other preparation derived of this plant, chloramphenicol, chloroform, chlorpromazine, colchicine, dapsone, dimetridazole, metronidazole, nitrofurans (included furazolidone), ronidazol.

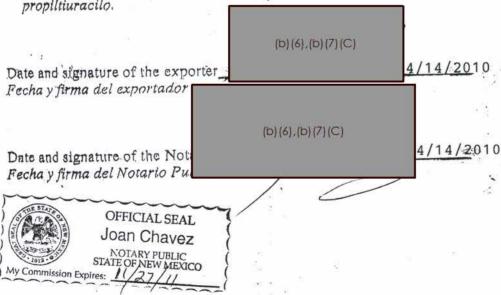
Aristolochia spp y cualquier otra preparación derivada de esta planta, cloranfenicol, cloroformo, clorpromazina, colchicine, dapsona, demetridazole, metronidazol, nitrofurans (incuding furazolidona) y rodinazole.

2. The following compounds were not used as growth promoters: zilpaterol, clembuterol and raptopamine.

Los siguientes compuestos no se usaron como promotores del crecimiento: zilpaterol, clembuterol y raptopamina.

3. The following thyrosthatics substances were not used: thiouracil, methylthiouracil phenylthiouracil and propylthiouracil.

Que no fueron empleados los siguientes tirostáticos: tiouracilo, metiluracilo, fenilituracilo y propilituracilo.





U.S. DEPARTMENT OF AGRIC PRE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OWNER/SHIPPER CERTIFICATE FITNESS TO TRAVEL TO A SLAUGHTER FACILITY

(Please type or print in ink)

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FORM APPROVED OMB NO. 0579-0160

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	USFH	1501		,			_ <	58		/,						/		643869
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U.S. DEPARTMENT OF AGE
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ANIMAL AND PLANT HEALTH INSPECTOR
ON SERVICE

OWNER/SHIPPER CERTIFICATE FITNESS TO TRAVEL TO A SLAUGHTER FACILITY (CONTINUATION SHEET)

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10-NN-015

	TAG	Tag		COL	OR DE	SCRIPT	ION			BF	REED/TY	/PE			SEX			REMARKS
	PREFIX	NO.	Bay	Grey	Blk.	Pinto	Chestn	Other	ТВ	QT	Draft	Pony	Other	Mare	Stal	Geld	BRANDS Tattoos, etc.	Include
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OF THIS FORM OR KNOWINGLY USING A FALSIFIED FORM IS A CRIMINAL OFFENSE AND MAY RESULT IN A FINE OF NOT MORE THAN \$ 10,000 OR IMPRISONMENT FOR NOT MORE THAN 5 YEARS OR BOTH (18 U.S.C. SECTION 1001).

VS FORM 10-13A
(SEP 2002)

VS PAGE 2 OF 4

PHIS-03102 Partial 4 Page 105

U.S. DEPARTMENT OF AGRIC ANIMAL AND PLANT HEALTH INSPECT ON SERVICE

OWNER/SHIPPER CERTIFICATE FITNESS TO TRAVEL TO A SLAUGHTER FACILITY (CONTINUATION SHEET)

ion Act of 1995, no persons According to the Paperwork R are required to respond to a catton of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0579-0160. The time required to complete this information collection is estimated to average 5 min. per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

FORM APPROVED OMB NO. 0579-0160

0-NN-015 (Please type or print in ink)

TAG Tag		Tag	Tag		DLOR DESCRIPTION					BR	EED/TY	PE.		SEX			BRANDS	REMARKS Include	
	PREFIX	NO.	Bay	Grey	Blk.	Pinto	Chestn	Other	ТВ	QT	Draft	Pony	Other	Mare	Stal	Geld	Tattoos, etc.	precondition	
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33		68						SR		1				1			599993		
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I HEREBY AUTHORIZE THE CFIA TO DISCLOSE THIS DOCUMENT AND THE INFORMATION IN IT AS COMPLETED BY THE CFIA TO THE USDA. FALSIFICATION OF THIS FORM OR KNOWINGLY USING A FALSIFIED FORM IS A CRIMINAL OFFENSE AND MAY RESULT IN A FINE OF NOT MORE THAN \$10,000 OR IMPRISONMENT FOR NOT MORE THAN 5 YEARS OR BOTH (18 U.S.C. SECTION 1001).

SIGNATURE OF OWNER/SHIPPER(I certify that the informati

(b)(6),(b)(7)(C)

of my knowledge.) GOVERNMENT **EXHIBIT** 3 of 6

APHIS FORM 7070 (MAR 95)

Page_

PAGE 3 OF 6

VS FORM 10-13A (SEP 2002)

U.S. DEPARTMENT OF AGRECTURE
ANIMAL AND PLANT HEALTH INSPECTOR SERVICE

OWNER/SHIPPER CERTIFICATE FITNESS TO TRAVEL TO A SLAUGHTER FACILITY (CONTINUATION SHEET)

(Please type or print in ink)

According to the Paperwork action Act of 1995, no persons are required to respond to a section of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0579-0160. The time required to complete this information collection is estimated to average 5 min. per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

FORM APPROVED OMB NO. 0579-0160

10-NM-015

			COLOR DESCRIPTION					1,		BB	EED/TY	PF			SEX		T	REMARKS
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SIGNATURE OF OWNER/SHIPPER(I certify that the information people of its this form 1001).

WS FORM 10-13A (SEP 2002)

WS FORM 10-13A (SEP 2002)

PAGE 4 OF 6

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Page 109 of 136

FORM APPROVED OMB NO. 0579-0160

OWNER/SHIPPER CERTIFICATE FITNESS TO TRAVEL TO A SLAUGHTER FACILITY (CONTINUATION SHEET)

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10-NM-015

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37		38	1							/						/	594338	
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39		40	1							/				/	1		650680	
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SIGNATURE OF OWNER/SHIPPER(I certify that the information contained in this

Page S of S APHIS FORM 7070 (MAR 95)

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PAGE 5 OF 6

OWNER/SHIPPER CERTIFICATE FITNESS TO TRAVEL TO A SLAUGHTER FACILITY (CONTINUATION SHEET)

(Please type or print in ink)

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FORM APPROVED OMB NO. 0579-0160

Page 110 of 136

10-NH-015

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	TAG PREFIX	Tag NO.	Pau	COL		SCRIPT		-			EED/TY	33703			SEX		BRANDS Tattoos, etc.	REMARKS Include
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21		53	1							1				1	5		647858	
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SIGNATURE OF OWNER/SHIPPER(I certify that the information contains

(b) (6), (b) (7) (C)

VS FORM 10-13A
(SEP 2002)

Page of APHS FORM 7070 (MAR 85)



Animal and Plant Health Inspection Service

Veterinary Services

Date: 4-15-10

To: Dr. Sciglibaglio

From: Walter F. Howe

Subject: Report of Apparent Violation in the Movement of Slaughter Horses

Today as I was pen inspecting slaughter horses at the Union Ganadera Stockyards at Santa Teresa,NM, I observed a bay mare with the green back tag number 1582 with a dead foal hanging out of her vulva area. This mare was described on page 3 of the VS Form 10-13 that accompanied the International Health Certificate number 10-NM015. A copy of the 10-13 is attached as well as a copy of the International Health Certificate described above. The time that I found this mare with the partially delivered foal was 0700 on the date of this letter. I have emailed you the photographs that Daniel Manzanares took today and I asked him and he said that he would try and take the profile shots of the left and right side and a face shot.

I'll remain vigilant and ready to respond to your direction.

Walter F. Howe DVM

Santa Teresa Port Veterinarian

(b)(6),(b)(7)(C)





THIS IMAGE FAIRLY AND ACCURATELY DEPICTS THE CONDITIONS ON THE DAY THE PHOTOGRAPH WAS TAKEN. CASE NO: NM10031-VS DISK/CD NO: FILE NO:





LOCATION: Union Ganadera Stockyards

DESCRIPTION: Back tag number USER 1582 on bay mare that had dead foal hanging from her vulva area

PRINTED NAME AND TITLE: Daniel Manzanares

SIGNATURE OF PHOTOGRAPHER:

THIS IMAGE FAIRLY AND ACCURATEDY DEPICTS THE CONDITIONS ON THE DAY THE PHOTOGRAPH WAS TAKEN. CASE NO: NM10031-VS DISK/CD NO: FILE NO:



LOCATION: Union Ganadera Stockyards

DESCRIPTION: Dead foal after being pulled out of bay mare

DATE OF PHOTOGRAPH: 04/15/10

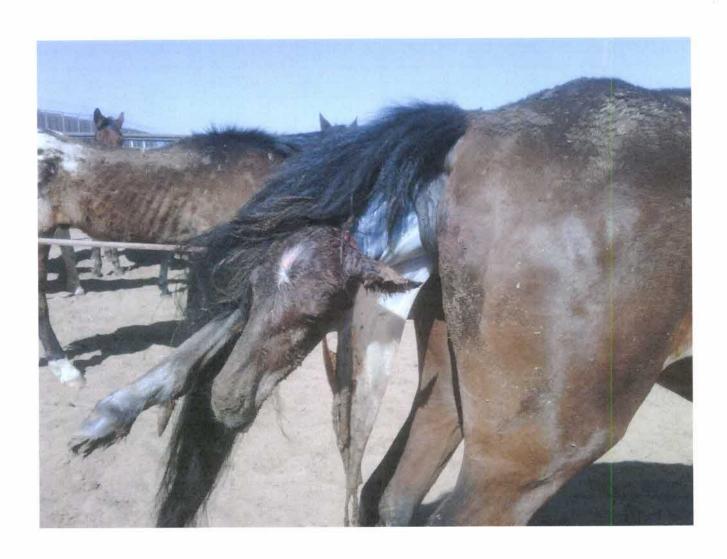
PRINTED NAME AND TITLE: Daniel Manzanares

SIGNATURE OF PHOTOGRAPHER:



THIS IMAGE FAIRLY AND ACCURATEDY DEPICTS THE CONDITIONS ON THE DAY THE PHOTOGRAPH WAS TAKEN. CASE NO: NM10031-VS DISK/CD NO:

FILE NO:



LOCATION: Union Ganadera Stockyards

DESCRIPTION: Bay mare with dead foal hanging from her vulva

DATE OF PHOTOGRAPH: 04/15/10

PRINTED NAME AND TITLE: Daniel Manzanares

SIGNATURE OF PHOTOGRAPHER:

Page 3 of 4





Health Certificate No. 10-NN-017 (Valid only if the USDA Veterinary Seal Appears over the Certificate Number)

INTERNATIONAL HEALTH CERTIFICATE FOR SLAUGHTER HORSES EXPORTED FROM THE UNITED STATES OF AMERICA TO MEXICO CERTIFICADO INTERNACIONAL ZOOSANITARIO PARA EXPORTAR CABALLOS PARA SACRIFICIO DE LOS ESTADOS UNIDOS A MEXICO

Note: Mexico will only accept this shipment if VS Form 10-13 and affidavit for residue are . completed and presented at the border with this Health Certificate (HC). VS Form 10-13 must have HC number written in the right upper corner. Mexico will not accept sexually intact males and

Nota: México aceptará este envio de caballos solamente si la forma VS FORM 10-13 y la declaración jurada están completadas y se presentan en la frontera con este Certificado Zoosanitario (CZ). El número de este CZ debe estar escrito en la parte superior derecha de la forma VS FORM 10-13. México no aceptará machos sin castrar ni monorchideos,

1. Name and Address of Exporter:

Dennis Chavez 24 Dalies Rd

Nombre y Dirección del Exportador:

Los Lunas, NM 87031

2. Name and Address of Importer: Nombre y Dirección del Importador:

Bertha Ruiz Pacheco

Elisa Grlesen 7741 Col. Independencia 2

3. Identification of the animals to be exported / Identificación de los animales a ser Juarez, Chihuaha MX

Microchip number / Número de microchip	Sox/Sexo	Approximate age/Edad aproximada	Microchip Number / Número de	Sex / Sexo	Approximate age/ Edad aproximada
646758 586833	Gelding Gelding	7 5	microchip 560022	Gelding	7
593232	Gelding	8	656982	Gelding	6
650933 561239	Gelding	5	637438	Gelding Gelding	9
588031	Gelding Gelding	5	646494	Gelding	5
545927 556483	Gelding Mare	7 9	577516 649448	Mare Gelding	9
61697 46647	Mare	8	5,96499	Mare	5
12634	Gelding Gelding	5	612370	Gelding Gelding	7
14935	Mare	7	667097 647793	Mare	8
37502 56622	Gelding Mare	5 5	667610 664700	Gelding Mare	7
43287 10591	Gelding Mare	7 5	648986 651041	Gelding Gelding Mare	6

Mexico, Slaughter horse HC

GOVERNMENT EXHIBIT APHIS FORM 7070 (MAR





Health Certificate No. 10. NA-017 (Valid only if the USDA Veterinary Seal Appears over the Certificate Number)

WFH

Microchip number / Número de microchip	Sex/Sexo	Approximate age/Edad aproximada	Microchip Number / Número de microchip	Sex / Sexo	Approximate age/ Edad aproximada	1/
610504 551135	Gelding Gelding	5 8	548883 584301	Gelding Mare	·6.	1
586257	Mare	6	664491	Gelding	9	-
647077	Gelding	5	594734	Gelding	7	
611509	Gelding	5	561786	Gelding	6	-
664655	Gelding	4	587699	Gelding	9	
637143	Gelding	4	647453	Gelding	5	\dashv
561129	Gelding	7	666196	Gelding	9	
654789	Gelding	6	665043	Gelding	7	-
561419	Gelding	7	593144	Gelding	6	
647411	Gelding	5	664960	Gelding	9	-
611222	Gelding	4	646964	Gelding	8	
635592	Gelding	4	592271	Gelding	6	
664562	Gelding	6	649239	Gelding	8	
666886	Gelding	7	547072	Gelding	7.	-
547895	Gelding	5	648437	Gelding	6	1
560889	Gelding	8	657792	Gelding	5	-
151907	Gelding	4	637291	Gelding	8	1
54776	Gelding	5	664943	Mare	6	4
566919	Gelding	8	636012	Gelding	9	1
667462	Gelding	7	636368	Gelding		-
564721	Gelding	4	654915	Gelding	7	
57563	Gelding	4	560506	Gelding	7	4
35475	Gelding	8	653991	Gelding	9	il .
49117	Gelding	. 5	610481	Gelding	5	-
45179	Gelding	5	650624	Gelding	5	1
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48468 .	Gelding	6	613167	Mare	8 5	1
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51502	Gelding	5	612872	Mare Mare	7.	
93125	Mare	9	594641	Mare	4.	-
46478	Mare	6	611541	Mare Mare	8	
60815	Mare	7	561787		6	
50921	Gelding	4	584987	Mare Mare	7	
50891	Gelding	5	611251		5	
11013	Gelding	4	647626	Gelding Mare	8	
87007	Mare	6	600361		6	-
51316	Mare	5	566232	Mare Gelding	8	
00725	Gelding	8	613160			ERNM
46026	Gelding	4	649674	Mare Gelding	· ·	EXHIBI
47039	Mare	5	592004		6 —	2
13824	Gelding	4	560182	Gelding Mare	9 Page	<u>_</u> o





Import and Export

Health Certificate No. 10 -NM-017 (Valid only if the USDA Veterinary Seal Appears over the Certificate Number)

Microchip number / Número de microchip	Sex/Sexo	Approximate age/Edad aproximada	Microchip Number / Número de microchip	Sex / Sexo	Approximate age/ Edad aproximada
610499 661369	Gelding Mare	6 7	649962 646138	Gelding Gelding	7 9
646615 649279	Gelding Gelding	5 8	594476 592726	Gelding Gelding	8 5
650362 644769	Mare Mare	6 7	646338 593905	Gelding Mare	8 5
560303 651372	Gelding Gelding	5 5	648400 646996	Gelding Mare	6 5
560198 560001	Mare Mare	6 4	646581 612280	Mare Gelding	6 8
560428 647800	Mare Gelding	7 4	611051 592962	Gelding Mare	5 5
612474 648106	Gelding Gelding	6	593126 611740	Mare Gelding	7 4
600406 650586	Gelding Mare	8 4	612169 666195	Gelding Gelding	8 5
656714 560327	Gelding Gelding	4 7	666673 649234	Gelding Mare	9 7
609576 560218	Mare Mare	5	657355 646832	Mare Gelding	5 8
561641 555090	Mare Mare	8 5	560728 611153	Gelding Mare	6 9
656408 666023	Mare Mare	7 9	667053 648206	Mare Mare	7 8
647732 644282	Mare Gelding	8	643385 592559	Mare Gelding	6 8
666894 612571	Gelding Gelding	7 9	651009 636634	Gelding Gelding	7 9
649166 560016	Gelding Mare	5 7	611160 586314	Mare Mare	7 9
636693 555511	Gelding Mare	5 7	560414 612700	Mare Gelding	7 8
612198 610772	Mare Mare	8 7	593615 651660	Gelding Mare	6 4
664914	Mare .	5	611434	Gelding	6
eta S					GOVERNMENT EXHIBIT
					Page 3 of 6





Health Certificate No. 10-NM-017 (Valid only if the USDA Veterinary Seal Appears over the Certificate Number)

WFH

Microchip number / Número de microchip	Sex/Sexo	Approximate age/Edad aproximada	Microchip Number / Número de microchip	Sex / Sexo	Approximate age/ Edad aproximada
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10	1				
			50		
			4		
		N N N N N N N N N N N N N N N N N N N			

CERTIFICATION STATEMENTS / CERTIFICACIONES

1. Horses originate from the United States.

Los animales son originarios de Estados Unidos.

2. Within 30 days prior to exportation, the animals were inspected by an accredited veterinarian who did not find clinical signs of contagious or infectious diseases.

A la inspección efectuada por un reterinaria efectuada por un reterinaria efectuada.

A la inspección efectuada por un veterinario oficial dentro de los 30 días previos a la exportación, los animales no presentaron signos de enfermedades infectocontagiosas.

Inspection date / Fecha de inspección April 21st 2010

3. Prior to shipment the vehicles used to transport the animals to the border were cleaned and disinfected.

Los vehículos utilizados para el transporte de los animales a la frontera fueron sometidos a limpieza y desinfección antes del embarque.

4. During 90 days prior to exportation, the animals have not been on premises where contagious equine metritis was diagnosed, neither have they been in contact with infected animals nor epidemiologically related to infected premises or animals.

Durante los 90 días previos a la exportación, los animales no han estado en explotaciones afectadas por la metritis equina contagiosa, ni han estado en contacto con animales afectados ni relacionados epidemiológicamente con instalaciones o animales infectados.

Mexico, Slaughter horse HC









Certificate No. 10-NM-017 (Valid only if the USDA Veterinary Seal Appears over the Certificate Number)

(Delete as appropriate /Remueva lo que no aplique)

5. [The animals are free of ectoparasite and originated from areas not under quarantine for Boophilus pp ticks.][.

[Los animales están libres de ectoparásitos y provienen de áreas no cuarentenadas por garrapatas Boophilus spp.][

C.Y Brasmer

Name of Accredited Veterinarian Nombre del Médico Veterinario Acreditado

(b)(6),(b)(7)(C)

wante of Endorsing Federal Veterinarian Nombre del Médico Veterinario Federal que endosa.

Signature of A d Veterinarian and Date Firma del Médico Veterinario Acreditado y Fecha

(b)(6),(b)(7)(C)

4/22/10

and Date

Firma del Médico Veterinario que endosa y Fecha

(Valid only if the USDA Veterinary Seal appears over the signature of the Endorsing Federal Veterinarian.) (Válido Solamente si el sello veterinario del USDA está sobre la firma del Médico Veterinario Federal).

Mexico, Slaughter horse HC



AFFIDAVIT DECLARACIÓN JURADA

I (print) Dennis Chavez declare that the horses included in this shipment have not been fed to or treated within the last one hundred eighty (180) days prior to shipment with the following compounds, plants or drugs.

Por este medio declaro que los caballos en este embarque no han sido alimentados o tratados con ninguno de los siguientes compuestos, plantas o medicamentos durante los ciento ochenta días antes del embarque.

1. Aristolochia spp and any other preparation derived of this plant, chloramphenicol, chloroform, chlorpromazine, colchicine, dapsone, dimetridazole, metronidazole, nitrofurans (including furazolidone), and ronidazole.

Aristolochia spp y cualquier otra preparación derivada de esta planta, cloranfenicol, cloroformo, clorpromazina, colchicine, dapsona, demetridazole, metronidazol, nitrofurans (incluyendo furazolidona) y ronidazol.

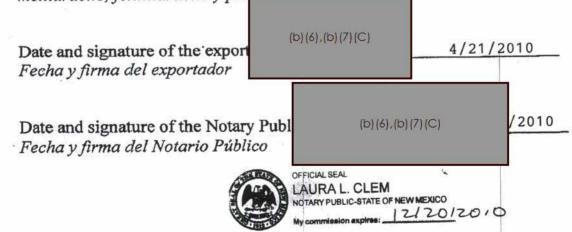
2. The following compounds were not used as growth promoters: zilpaterol, clenbuterol, raptopamine, and anabolic steroids.

Los siguientes compuestos no se usaron como promotores del crecimiento: zilpaterol, clenbuterol, raptopamine, así como esteroides anabólicos.

3. The following thirosthatics were not used: thiouracil, methyluracil phenylthiouracil and propylthiouracil.

Que no fueron empleados los siguientes tirostáticos: tiouracilo,

metiluracilo, feniltiuracilo y propiltiuracilo





U.S. DEPARTMENT OF AGRICU ANIMAL AND PLANT HEALTH INSPEC SERVICE

OWNER/SHIPPER CERTIFICATE

FITNESS TO TRAVEL TO A SLAUGHTER FACILITY (Please type or print in ink)

According to the Paperwork Region Act of 1995, no persons are required to respond to a country ion of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0579-0160. The time required to complete this information collection is estimated to average 5 min. per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

FORM APPROVED OMB NO. 0579-0160

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U.S. DEPARTMENT OF AGRIC ANIMAL AND PLANT HEALTH INSPE SERVICE

OWNER/SHIPPER CERTIFICATE FITNESS TO TRAVEL TO A SLAUGHTER FACILITY (CONTINUATION SHEET)

(Please type or print in Ink)

According to the Paperwork R tion Act of 1995, no persons are required to respond to a calcution of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0579-0160. The time number for this information collection is early-uriou. The time required to complete this information collection is estimated to average 5 min. per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

FORM APPROVED OMB NO. 0579-0160

F10-NN-017

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THEREBY AUTHORIZE THE CFIA TO DISCLOSE THIS DOCUMENT AND THE INFORMATION IN IT AS COMPLETED BY THE CFIA TO THE USDA. FALSIFICATION OF THIS FORM OR KNOWINGLY USING A FALSIFIED FORM IS A CRIMINAL OFFENSE AND MAY RESULT IN A FINE OF NOT MORE THAN \$10,000 OR IMPRISONMENT FOR NOT MORE THAN 5 YEARS OR BOTH (18 U.S.C. SECTION 1001).

SIGNATURE OF OWNER/SHIPPER ue and correct to the best of my knowledge. GOVERNMENT (b)(6),(b)(7)(C) EXHIBIT **VS FORM 10-13A** PAGE Q OF 7 (SEP 2002) Page _ __of__ APHIS FORM 7070 (MAR 95)

U.S. DEPARTMENT OF AGRICATION OF ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OWNER/SHIPPER CERTIFICATE FITNESS TO TRAVEL TO A SLAUGHTER FACILITY (CONTINUATION SHEET)

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FORM APPROVED OMB NO. 0579-0160

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SIGNATURE OF OWNER/SHIPPER(I certif

(b)(6),(b)(7)(C)

d correct to the best of my knowledge)

GOVERNMENT EXHIBIT

Page 3 of 7

APHIS FORM 7070 (MAR 95)

PAGE 3 OF 7

U.S. DEPARTMENT OF AGRICAL RE
ANIMAL AND PLANT HEALTH INSPECTATION SERVICE

OWNER/SHIPPER CERTIFICATE FITNESS TO TRAVEL TO A SLAUGHTER FACILITY (CONTINUATION SHEET)

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FORM APPROVED OMB NO. 0579-0160

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SIGNATURE OF OWNER/SHIPPER(I certify the

(b) (6), (b) (7) (C)

correct to the best of my knowledge.)

Page of Aphils FORM 7070 (MAR 95)

PAGE 4 OF 7

U.S. DEPARTMENT OF AGRICATION OF ANIMAL AND PLANT HEALTH INSPECTING SERVICE

OWNER/SHIPPER CERTIFICATE FITNESS TO TRAVEL TO A SLAUGHTER FACILITY (CONTINUATION SHEET)

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FORM APPROVED OMB NO. 0579-0160

10-NN-017

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SIGNATURE OF OWNER/SHIPPER(I certify th

(b)(6),(b)(7)(C)



PAGE 5 OF 7

U.S. DEPARTMENT OF AGRICAL RE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OWNER/SHIPPER CERTIFICATE FITNESS TO TRAVEL TO A SLAUGHTER FACILITY (CONTINUATION SHEET)

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FORM APPROVED OMB NO. 0579-0160

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	TAG	Tag		COL	OR DE	SCRIPT	TION			BR	EED/TY	PE.			SEX			REMARKS
	PREFIX	NO.	Bay	Grey	Blk.	Pinto	Chestn	Other	ТВ	QT	Draft	Pony	Other	Mare	Stal	Geld	BRANDS Tattoos, etc.	Include precondition
16	USFH	1836						52		/						/	612280	100
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34		55				/				1				1			657355	
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I HEREBY AUTHORIZE THE CFIA TO DISCLOSE THIS DOCUMENT AND THE INFORMATION IN IT AS COMPLETED BY THE CFIA TO THE USDA. FALSIFICATION OF THIS FORM OR KNOWINGLY USING A FALSIFIED FORM IS A CRIMINAL OFFENSE AND MAY RESULT IN A FINE OF NOT MORE THAN \$10,000 OR IMPRISONMENT FOR NOT MORE THAN 5 YEARS OR BOTH (18 U.S.C. SECTION 1001).

SIGNATURE OF OWNER/SHIPPER(I certify that the

(b) (6), (b) (7) (C)

GOVERNMENT EXHIBIT

Page O of

APHIS FORM 7070 (MAR 95)

the best of my knowledge.)

PAGE LO OF 7

VS FORM 10-13A (SEP 2002)

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U.S. DEPARTMENT OF AGRIC ANIMAL AND PLANT HEALTH INSPE SERVICE

OWNER/SHIPPER CERTIFICATE FITNESS TO TRAVEL TO A SLAUGHTER FACILITY (CONTINUATION SHEET)

(Please type or print in lnk)

ion Act of 1995, no persons According to the Paperwork R are required to respond to a tion of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0579-0160. The time required to complete this information collection is estimated to average 5 min. per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information

FORM APPROVED OMB NO. 0579-0160

F10-NN-017 COLOR DESCRIPTION BREED/TYPE SEX REMARKS TAG Tag BRANDS PREFIX Include NO. Tattoos, etc. Bay Grey Blk. Pinto Chestn Other TB QT Draft Pony precondition Other Mare Stal Geld 16 15FH18107 643385 201 17 68 18 109 SP. 19 70 20 SR 21 SR 72 b.skin 22 73 23 74 24 75 25 710 OU 26 27 78 28 79 SR 29 80 WIE 30 81 31 82 32 84 33 34 86 65/6/00 35 87 10104914 36 88 611434 37 38 39 40 41 42 43 (b)(6),(b)(7)(C) 44 45 I HEREBY AUTHORIZE THE CFIA TO DISCLOSE THIS DOCUMENT AND THE INFORMATION IN IT AS COMPLETED BY THE CFIA TO THE USDA. FALSIFICATION

OF THIS FORM OR KNOWINGLY USING A FALSIFIED FORM IS A CRIMINAL OFFENSE AND MAY RESULT IN A FINE OF NOT MORE THAN \$10,000 OR IMPRISONMENT FOR NOT MORE

SIGNATURE OF OWNER/SHIPPER

(b) (6), (b) (7) (C)

ct to the best of my knowledge.)

GOVERNMENT EXHIBIT Page 7 of

APHIS FORM 7070 (MAR 95)

PAGE 7 OF 7

Date: 4-22-10

To: Dr. Sciglibaglio

From: Walter F. Howe

Subject: Report of Apparent Violation in the Movement of Slaughter Horses

Today as I was pen inspecting slaughter horses at the Union Ganadera Stockyards at Santa Teresa, NM, I observed a paint mare with the no back tag with her live new born foal standing by her side. This mare was described on the last page of the VS Form 10-13 that accompanied the International Health Certificate number 10-NM017. A copy of the 10-13 is attached as well as a copy of the International Health Certificate described above. The time that I found this mare with the new born foal was 0710 on the date of this letter. I have emailed you the photographs that Daniel Manzanares took today and I asked him and he said that he would try and take the profile shots of the left and right side and a face shot. Especially important would be one which shows that the back tag has been lost. All the photographs have been forwarded to you.

I'll remain vigilant and ready to respond to your direction.

(b)(6),(b)(7)(C)

Santa Teresa Port Veterinarian



THIS IMAGE FAIRLY AND ACCURATED DEPICTS THE CONDITIONS ON THE DAY THE PHOTOGRAPH WAS TAKEN. CASE NO: NM10031-VS DISK/CD NO: FILE NO:





LOCATION: Union Ganadera Stockyards

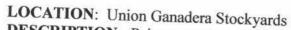
DESCRIPTION: Paint mare with live foal born in the holding pen at Union Ganadera Stockyards DATE OF PHOTOGRAPH: 04/22/10

PRINTED NAME AND TITLE: Daniel Manzanares

SIGNATURE OF PHOTOGRAPHER:

THIS IMAGE FAIRLY AND ACCURATEDY DEPICTS THE CONDITIONS ON THE DAY THE PHOTOGRAPH WAS TAKEN. CASE NO: NM10031-VS DISK/CD NO: FILE NO:





DESCRIPTION: Paint mare that foaled at Union Ganadera Stockyards with no back tag

DATE OF PHOTOGRAPH: 04/22/10

PRINTED NAME AND TITLE: Daniel Manzanares

SIGNATURE OF PHOTOGRAPHER:



DATE:____

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THIS IMAGE FAIRLY AND ACCURATED. DEPICTS THE CONDITIONS ON THE DAY THE PHOTOGRAPH WAS TAKEN. CASE NO: NM10031-VS DISK/CD NO: FILE NO:





LOCATION: Union Ganade	ro Stoolswand
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DESCRIPTION: Paint mare with live foal born in the holding pen at Union Ganadera Stockyards

DATE OF PHOTOGRAPH: 04/22/10 PRINTED NAME AND TITLE: Daniel Manzanares

SIGNATURE OF PHOTOGRAPHER:

DATE:____

AFFIDAVIT

I, Dennis Chavez, being duly sworn on oath make the following statement:

I am giving the following voluntary statement without promise of reward to (b)(6),(b)(7)(C) who has identified himself to me as an Investigator of the United States Department of Agriculture, Animal Plant Health Inspection Service, Investigative and Enforcement Services.

I am owner of Dennis V. Chavez LLC. I am owner of the Southwest Livestock Auction in Los Lunas, NM. I have been working in the Livestock auction business for about 35 years.

My address is 24 Dalies Road, Los Lunas, New Mexico. My phone number at home (b)(6),(b)(7) (C)

My cell number (b)(6),(b)(7)(C)

The phone number for Southwest Livestock

Auction is (505) 565-2233.

I have reviewed the VS Forms 10-13 numbers 10-NM014 dated 04/08/2010, 10-NM-015 dated 04/14/2010, and 10-NM-017 dated 04/21/2010. I remember the shipments of these horses and I don't recall seeing any noticeable breed mares on these shipments. If I notice that they are breed mares on any of my shipments I turn them out on a ranch and let them fold. These horses are undernourished and thin and it is very hard to tell if they were breed. I reviewed the pictures that (b)(6),(b)(7)(C) provided and of the two mares that aborted and they did not look like they were heavy breed. The picture of the mare that gave birth also did not look like it was heavy breed. The VS form 10-13, # 10-NM-017 dated 4-22-2010 was signed by me. I did not notice any heavy breed mares on the shipment.

The VS Form 10-13, # 10-NM-015 dated 4-14-2010 was signed by me. I did not notice any heavy breed mares on this shipment.

The VS Form 10-13, # 10-NM-014-2010 dated 4-8-2010 was signed by me. I did not notice any heavy breed mares on this shipment.

(b) (6), (b) (7) (C)

GOVERNMENT EXHIBIT

Page _____ of ____
APHIS FORM 7070 (MAR 95)

SIGNATURE OF AFFIANT

Subscribed and sworn to before me at Southwest Livestock Auction, 24 Dailes Road, Lee Lives ADA on this 17th day of November 2010.

APHIS FORM 7162 Replaces VS Form 3-59G which is obsolete.
(NOV 92)

(b)(6),(b)(7)(C)

ADMINIS

AFFIDAVIT

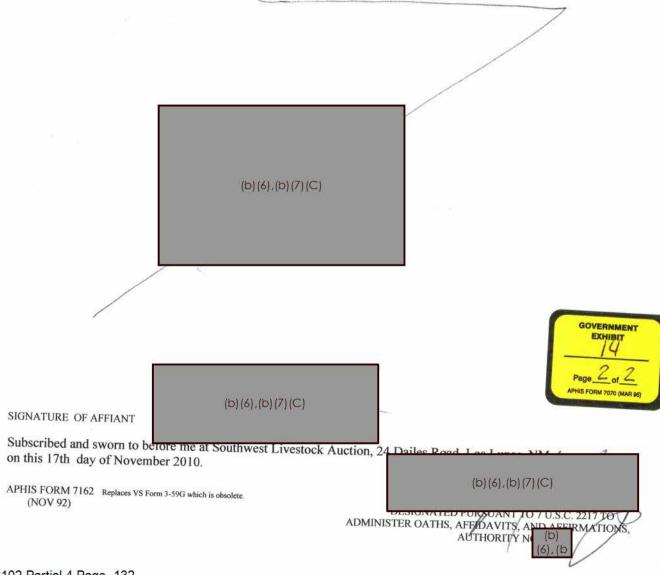
I, Dennis Chavez, being duly sworn on oath make the following statement:

These horses were technically sold at my auction but I don't get paid for them until after slaughter. I take responsibility for the shipments of these horses and I signed the VS Form 10-13 and I verify that that is my signature.

I arranged and pay for the shipment of these horses by J&J trucking located at in Albuquerque, NM. The number of J&J trucking is (505) 269-1254. The owner of J&J trucking is Joe Arballo. I will work on getting copies of the payments for these horses from the slaughter plant and provide them to (b)(6),(b)(7)(C)

I will also provide proof of payment for the shipping to J&J Trucking.

I have read all two pages of this statement, made any necessary changes, and swear it is true to the best of my knowledge.



INTERVIEW LOG USDA, APHIS, IES

NAME AND ADDRESS OF PERSON(S) CONTACTED: Jose Arballo J&J Trucking Albuquerque, NM

DATE: January 10, 2011

TYPE OF INTERVIEW (BY PHONE OR IN PERSON): Phone SUBJECT:	ORGANIZATION: J & J Trucking	TELEPHONE NUMBERS: (505) 269-1254
Horses to Slaughter Shipments SUMMARY:		LOCATION OF INTERVIEW: By Phone
aborted during the trip or at the time the mares that had fold or aborted during the he was. I asked if he had noticed any hors any. I asked him how familiar he was wit some of the requirements like the horses I asked Jose Arballo if I could meet with I him that day, the next day 01/14/11 were in	I him if he remembered hauling load y were unloaded in Santa Teresa, NN e trip. I asked if he was present during ses at the time that he thought could in the horse to slaughter regulations. have to be able to see and be able to we man that Thusday, 01/13/11 to get a second answered and were unreturned a	statement from him and he said he could but calls to ifter I had left messages both times.
NAME OF PERSON DOCUMENTING INTERVIEW:	Tourio 30 I could finish getting some	e information from him but the call went unanswered.
(b)(6),(b)(7)(C) Investigator	(b) (6), (b) (7) (C)	DATE: 01/ 25 /2011 ₩





UNITED STATES DEPARTMENT OF AGRICULTURE

USDA, APHIS, IES
WESTERN REGION
2150 CENTRE AVENUE
BLDG. B-3W10
FORT COLLINS, CO 80526-8117
(970) 494-7485

January 25, 2011

On November 17, 2010, I requested payment records from Dennis Chavez, owner of Southwest Livestock Auction, for his payments to J&J Trucking and his payments he received for the horses that he had shipped to slaughter on the dates of 04/08/10, 04/14/10, and 04/22/10. He said he would work on getting those for me.

I called Dennis Chavez on 12/27/10 to ask if I could come pick up the records I had requested. I told him I would be by on 12/28/10 to pick them up and he said that would be fine. When I arrived the morning of 12/28/10, Mr. Chaves did not have any records for me. He asked then what records I had wanted. I told him again and reminded him I had included it on the affidavit we had written on 11/17/2010. He said he would be able to provide the slaughter plant payment to me but he took down the dates again on the VS Form 10-13 and said he would fax the trucking payments/records to me.

I did not hear from Dennis Chaves about the records so I called him again on 01/10/11 and 01/14/11 and asked for the records and both times he said he would try to get them and fax them to me. As of today I have not received any of the records I had requested from him.

(b) (6), (b) (7) (C)

USDA, APHIS, IES Investigator New Mexico and West Texas

(b)(6),(b)(7)(C)



INTERVIEW LOG USDA, APHIS, IES

NAME AND ADDRESS OF PERSON(S) CONTACTED:

Daniel Manzanares

DATE

03/10/11

TYPE OF INTERVIEW (BY PHONE OR IN PERSON):	ORGANIZATION: Chihuahua Cattle Growers	TELEPHONE NUMBER: (575) 589-1620	
Telephone SUBJECT: Pictures taken of late term pregnancy mares at the Santa Teresa Port		LOCATION OF INTERVIEW: Duty Station	

SUMMARY:

On 03/10/11 I conducted a telephone interview with Daniel Manzanares, Director, of the Santa Teresa Import/Export Livestock Crossing located at 100 Fronterra Blvd., Santa Teresa, NM 88008. During the interview it was learned Mr. Manzanares has been employed as the Director of the Santa Teresa Import/Export Livestock Crossing, owned by the Chihuahua Cattle Growers Association, since November 2007. According to Mr. Manzanares Veterinary Services, Veterinary Medical Officer, Dr. Walter Howe, asked him to photographs mares that foaled in the holding pens at the Santa Teresa Port on 04/09/10, 04/15/10, and 04/22/10. Mr. Manzanares stated he took the photographs with his Blackberry, uploaded them to his computer, and gave Dr. Howe a copy. Mr. Manzanares stated the horses were owned by Dennis Chavez and were awaiting inspection from Mexican Secretaria de Agricultura, Livestock, Rural Development, and Fish (SAGARPA) veterinarians. According to Mr. Manzanares the horses are penned separately by owner and/or lot, while waiting inspection by SAGARPA veterinarians. If the horses are rejected for import they are not allowed into Mexico and the owners/consignors transport the horses out of the import/export center.

	- Assess	
NAME OF PERSON DOCUMENTING INTERVIEW:	CICNATUDE:	DATE:
(b)(6),(b)(7)(C) Senior Investigator	(b)(6),(b)(7)(C)	03/10/11
Senior 22.		

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